

**Appendix B:** Navigation Guidance Table for General Information Requirements Specified by the FTAA for Listed Projects

<b>FTAA Substantive Application Information Requirement</b>	<b>Source Document</b>	<b>Document Section(s)</b>
<b>Section 13(4) general information requirements</b>		
Note - Although specific to referral applications, parts of Section 13(4) are also relevant to substantive applications via Section 43(2)		
<i>Proposal and effects</i>		
(a) a description of the project and the activities it involves:	<b>Part A – Substantive Application</b>	<b>Section 2 (pages 23-78)</b>
(b) an explanation of how the project meets the criteria in section 22	<b>N/A</b>	<b>-</b>
(c) information to demonstrate that the project does not involve any ineligible activities	<b>Part A – Substantive Application</b>	<b>Section 9.6 (pages 304-305)</b>
	<b>Application Form</b>	<b>Part 2, Subpart 1</b>
(d) a description or map of the whole project area that identifies its boundaries in sufficient detail to enable consideration of the referral application:	<b>Part A – Substantive Application</b>	<b>Section 2, Figure 2.1 (page 26)</b> <b>Section 3 (pages 79-170)</b>
	<b>Part C – Project Maps and Design Plans</b>	<b>C.02 Project Wide Maps</b>
(e) the anticipated commencement and completion dates for construction activities (where relevant):	<b>Part A – Substantive Application</b>	<b>Section 2.2.1 (pages 25-26)</b>
(f) a statement of whether the project is planned to proceed in stages and, if so,—	<b>Part A – Substantive Application</b>	<b>Section 1.2 (page 6)</b>
		<b>Section 2.2.1 (pages 25-26)</b>

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(ii) a statement of whether a separate substantive application is to be lodged for each of the stages; and	N/A	-
(iii) an explanation of how each stage meets the criteria in section 22	N/A	-
(g) a statement of whether a part of the project is proposed as an alternative project in itself and, if so,— (i) a description of that part of the project; and (ii) an explanation of how that part of the project meets the criteria in section 22:	N/A	-
(h) a description of the anticipated and known adverse effects of the project on the environment:	<b>Part A – Substantive Application</b>	<b>Section 7 (pages 210-275)</b>
(i) a statement of any activities involved in the project that are prohibited activities under the Resource Management Act 1991	<b>Part A – Substantive Application</b>	<b>Section 5.2 (pages 181-188)</b>
<i>Persons affected</i>		
(j) a list of the persons the applicant considers are likely to be affected by the project, including— (i) relevant local authorities:	<b>Part A – Substantive Application</b>	<b>Sections 6.2 (pages 196-200)</b>
(ii) iwi authorities and groups that represent hapū that are parties to relevant Mana Whakahono ā Rohe or joint management agreements:	<b>Part A – Substantive Application</b>	<b>Section 4 (pages 171-180)</b>
(iii) other relevant iwi authorities:	<b>Part A – Substantive Application</b>	
(iv) relevant Treaty settlement entities:	<b>Part A – Substantive Application</b>	
(v) customary rights groups	N/A	-
(vi) Ngāti Porou	N/A	-
(vii) Takutai groups	N/A	-

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(viii) persons with a registered interest in land that may need to be acquired under the Public Works Act 1981:	N/A	-
(k) a summary of— (i) the consultation undertaken for the purposes of section 11 ( <i>to be read as section 29 Pre-lodgement requirements for listed project</i> ) and any other consultation undertaken on the project with the persons and groups referred to in paragraph (j); and	<b>Part A – Substantive Application</b>	<b>Section 6 (pages 196-209)</b>
(ii) how the consultation has informed the project:	<b>Part A – Substantive Application</b>	<b>Section 6 (pages 196-209), particularly 6.6 (pages 204-209)</b>
(l) a list of any Treaty settlements that apply to the project area, and a summary of the relevant principles and provisions in those settlements:	<b>Part A – Substantive Application</b>	<b>Section 10.4 (pages 390-395)</b>
(m) a description of any processes already undertaken under the Public Works Act 1981 in relation to the project	N/A	-
(n) a statement of any relevant principles or provisions in the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019:	N/A	-
(o) information identifying the parcels of Māori land within the project area, marae, and identified wāhi tapu within the project area:	N/A	-
<i>Information relating to activity that may be subject of determination under section 23 or 24</i>		
(p) a statement of whether the applicant is seeking a determination under section 23 and, if so, an assessment of the effects of the activity on the relevant land and on the rights and interests of Māori in that land:	<b>N/A – not a referral application.</b>	-
(q) a statement of whether the applicant is seeking a determination under section 24(2) and, if so, a description of— (i) the scale and adverse effects of the existing electricity infrastructure; and (ii) how, if at all, that scale or those adverse effects are anticipated or known to change as a result of the maintenance, upgrading, or continued operation of the infrastructure:	<b>N/A – no Schedule 4 land is affected by the proposal.</b>	-

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(r) a statement of whether the applicant is seeking a determination under section 24(4) and, if so,—	<b>N/A WIAL is not seeking approval under Section 24(4).</b>	-
<i>What is needed to complete the project</i>		
(s) a description of the applicant’s legal interest (if any), or if the referral application is lodged by more than 1 person, the legal interest of any of those persons (if any), in the land on which the project will occur, including a statement of how that affects the applicant’s ability to undertake the work:	<b>Part A – Substantive Application</b>	<b>Section 3.3.4 (pages 116-119)</b>
	<b>Part I – Land Ownership and Records of Title</b>	<b>I.01 – List of Project Ownership and Records of Title</b>
(t) an outline of the types of consents, certificates, designations, concessions, and other legal authorisations (other than contractual authorisations or the proposed approvals) that the applicant considers are needed to authorise the project, including any that the applicant considers may be needed by someone other than the applicant:	<b>Part A – Substantive Application</b>	<b>Section 5 (pages 181-195)</b>
	<b>Part H – Rules Assessment</b>	
<i>Other matters</i>		
(u) whether any activities that are involved in the project, or are substantially the same as those involved in the project, have been the subject of an application or a decision under a specified Act and,—  (i) if an application has been made, details of the application:  (ii) if a decision has been made, the outcome of the decision and the reasons for it:	<b>Part A – Substantive Application</b>	<b>Section 3.4 (pages 122-123)</b>
(v) a description of whether and how the project would be affected by climate change and natural hazards:	<b>Part A – Substantive Application</b>	<b>Section 7.2.2 (pages 213-214)</b> <b>Section 7.7.4 (pages 244-245)</b>
(w) if the referral application is lodged by more than 1 person, a statement of each proposed approval to be held by each of those persons:	<b>N/A</b>	-

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(x) a summary of compliance or enforcement actions (if any), and the outcome of those actions, taken against the applicant (or if the referral application is lodged by more than 1 person, any of those persons) under a specified Act:	<b>Part A – Substantive Application</b>	<b>Section 9.4 (pages 298-302)</b>
<i>Matters relating to specific proposed approvals</i>		
(y) if the proposed approvals include—	<b>Covered specifically below – See Section 43(3) (Information Requirements – Substantive Application)</b>	(i) <b>Section 10 (pages 306-399)</b>
(i) an approval described in section 42(4)(a) or (d) (resource consent or designation), the information specified in clause 5 to 8 of Schedule 5		(ii) <b>Section 11 (pages 400-420)</b>
(ii) an approval described in section 42(4)(e) (concession), the information specified in clause 3 of Schedule 6		(iii) <b>Section 12 (pages 421-433)</b>
(iii) an approval described in section 42(4)(h) (wildlife), the information specified in clause 2 of Schedule 7		(iv) <b>Section 13 (pages 433-439)</b>
(iv) an approval described in section 42(4)(i) (archaeological authority), the information specified in clause 2 of schedule 8.		
<b>Section 29 Steps before lodging substantive application – pre-lodgement requirement</b>		
(1) Before lodging a substantive application for a listed project, the authorised person for the project must—	<b>Part A – Substantive Application</b>	<b>Section 4 (pages 171-180)</b>
(a) consult the persons and groups referred to in section 11		<b>Section 6 (pages 196-209)</b>
<b>Section 30 Identification of existing resource consent for same activity – pre-lodgement requirement</b>		
(1) This section applies if—	<b>Part A – Substantive Application</b>	<b>Section 6.6 (pages 204-209)</b>
a) a substantive application for a listed project or a referred project is to seek an approval described in section 42(4)(a) (resource consent); and		<b>Section 9.3 (pages 298)</b>
b) the authorised person for the project does not hold an existing resource consent for the same activity using some or all of the same natural resource.	<b>Part F – Consultation and Engagement</b>	<b>F.02 GWRC - Section 30 response letter 15 October 2025</b> <b>F.03 WCC - Section 30 response letter 13 October 2025.</b>

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(2) Before lodging the substantive application, the authorised person must notify in writing each consent authority that has jurisdiction over an area where the approval would apply.		
<b>Section 43 Requirements for substantive application</b>		
(1) A substantive application—  (a) must be lodged in the <b>form</b> and manner approved by the EPA; and	<b>Approved EPA Application form and relevant checklists completed and loaded onto the portal</b>	-
(b) must—  (i) explain how the project to which the application relates is consistent with the purpose of this Act; or .....	<b>Part A – Substantive Application</b>	<b>Section 7.2 (pages 212-215)</b> <b>Section 9.5 (pages 302-304)</b>
(c) must demonstrate that the project does not involve any ineligible activities; and	<b>Part A – Substantive Application</b>	<b>Section 9.6 (pages 304-305)</b>
(d) must, if the application is lodged by more than 1 authorised person, state the proposed approval to be held by each person; and	<b>N/A – application lodged by 1 authorised person</b>	-
(e) must comply with—  any information requirements specified by the Minister under section 27(3)(b)(ii) [Minister specifies matters for accepted referral application]; and	<b>N/A - No information requirements have been specified under section 27(3)(b)(ii).</b>	-
(i) the requirements listed in subsection (3) that apply to the approvals sought; and	<b>See comment for section 43(3) below.</b>	-

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(f) must, if the authorised person has applied under section 39 [Minister may make determination] for a determination under section 23 [linear infrastructure on Māori land is not ineligible activity] or 24 [electricity infrastructure on Schedule 4 land is not ineligible activity], include a copy of the notice under section 39(4); and	<b>N/A - Section 39 determination under section 23 or 24 is not required for the Project.</b>	-
(g) must, if the application seeks an approval for an activity that is the subject of a determination under section 23, set out the steps taken to secure the agreement referred to in section 5(1)(a); and	<b>N/A - No determination is required under section 23 or 24 for the Project.</b>	-
(h) must state whether the application relates to a priority project and, if so, include confirmation that, to the best of the applicant’s knowledge, there are no competing applications; and	<b>N/A - The application does not relate to a priority Project.</b>	-
(i) must be made by the deadline specified in the notice under section 28(3)(d) [Minister may set deadline for lodging the substantive application]; and	<b>N/A – The Project is a project listed in Schedule 2, there is no deadline notice under section 28(3)(d).</b>	-
(j) must not lodge a substantive application unless any fee, charge, or levy payable under regulations in respect of the application is paid.	<b>Part A – Substantive Application</b>	<b>Section 9.4 (page 298)</b>
(2) If a substantive application is for a listed project, it must also contain the information required by section 13(4) (other than section 13(4)(b), (f)(ii) and (iii), and (g)), which applies— <ul style="list-style-type: none"> <li>a) as if the reference in section 13(4)(k) to section 11 were a reference to section 29 [pre-lodgement requirements for listed project]; and</li> <li>b) as if the reference in clause 2 of Schedule 11 to section 12(2) were a reference to section 29; and</li> <li>c) with any other necessary modifications.</li> </ul>	<b>See above - As per section 13(4).</b>	-

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(3) The requirements referred to in subclause (1)(e)(ii) are those set out in,— (a) for an approval described in section 42(4)(a)(resource consent), clauses 5 to 8 of Schedule 5:	<b>Part A – Substantive Application</b>	<b>Section 10 (pages 306-399)</b>
(e) for an approval described in section 42(4)(e)(concession), clause 3 of Schedule 6:	<b>Part A – Substantive Application</b>	<b>Section 11 (pages 400-420)</b>
(h) for an approval described in section 42(4)(h) (wildlife approval), clause 1A of Schedule 6:	<b>Part A – Substantive Application</b>	<b>Section 12 (pages 421-432)</b>
(i) an approval described in section 42(4)(i) (archaeological authority), the information specified in clause 2 of schedule 8.	<b>Part A – Substantive Application</b>	<b>Section 13 (pages 433-439)</b>
(4) The EPA must approve an application form for the purposes of this section and ensure that it is made available on an internet site administered by or on behalf of the EPA.	<b>N/A</b>	<b>-</b>
<b>44 Information must be specified in sufficient detail</b>		
Information required by section 43 must be specified in sufficient detail to satisfy the purpose for which it is required	<b>Part A – Part I</b>	<b>All</b>  <b>Overall, WIAL consider the information set out in the Substantive Application and its supporting appendices is of sufficient detail to enable all required post lodgement reports to be prepared and to enable the Panel to make a decision.</b>