



**WINSTONE**  
AGGREGATES

Boffa Miskell



**Part  
A**

# Appendix A6.3

FTAA Checklist

## HUNUA QUARRY DEVELOPMENT NAVIGATION TABLE

These tables set out the relevant information requirements under FTAA and where in the application documents these requirements have been addressed.

- **Table 1:** Substantive Application information requirements (sections 42-43)
- **Table 2:** Application for Resource Consent requirements under Schedule 5, including consents, reclamation, change/cancellation of consent conditions and standard freshwater fishery approvals.
- **Table 3:** Application for Wildlife Approval (Schedule 7)
- **Table 4:** Application for Archaeological Authority (Schedule 8)
- **Table 5:** Application for Complex Fresh Water Fisheries Approval (Schedule 9)
- **Table 6:** Table 6: Panel's Decision-Making Power

<b>Table 1: Sections 42-43 Information requirements</b>			
FTA provisions	Information	Document	Comments
<b>Section 42 – Authorised person may lodge substantive application for approach</b>			
Section 42(1)(a)	An authorised person for a listed project or a referred project may lodge with the EPA one substantive application for the project	<b>Part A: General Overview Part A: A2.2 Authorised person for listed project; Appendix A6.1 FTAA Substantive Application Form</b>	Winstone Aggregates (a division of Fletcher Concrete and Infrastructure Limited) is the applicant and authorised person. This is the first (and only) substantive application for the project.
<b>Section 42(2)(a)</b>	<b>A substantive application must comply with section 43</b>	<b>Refer below</b>	<b>Refer below</b>
Section 42(3)(a)	For each of the approvals sought under subsection (4), the applicant must be eligible to apply for any corresponding approval under the specified Act.	The applicant is eligible to apply for the resource consent, a change or cancellation of a resource consent, wildlife approval and archaeological authority and a complex freshwater fishery activity.  <b>Part A: A2.7 Information Requirements for Substantive Application; Appendix A6.3 FTAA Checklist Part A: A2.1 Approvals sought; A2.3 Project Eligibility; A2.7 Information Requirements</b>	
Section 42(4)(a) Section 42(4)(i)	A substantive application may seek one or more approvals, including a resource consent that would otherwise be applied	<b>Part A: General Overview Part B: Substantive AEE Resource Consent Package</b>	The substantive application seeks approval for resource consents that would otherwise be applied for under the RMA.

**Table 1: Sections 42-43 Information requirements**

FTA provisions	Information	Document	Comments
	for under the Resource Management Act 1991.	<b>Part A: A2.1 Approvals sought;</b> <b>Part B: B4.2 Resource Consents Required</b>	The substantive application includes applications to change or cancel existing resource consent conditions.
Section 42(4)(b)	A change or cancellation of a resource consent condition that would otherwise be applied for under the Resource Management Act 1991 (but see section (6))	Part A: General Overview (Part A) Substantive AEE Resource Consent Package (B) Part A: <b>A2.1 Approvals sought;</b> Part B: <b>B4.3 Change/Cancellation of Conditions</b>	All changes or cancellation of consent conditions are material to the implementation or delivery of the project.
Section 42(6)	A substantive approval may seek an approval described in subsection (4)(b) only if – (a) The substantive application also seeks an approval described in subsection (4)(a) or (d); and (b) The change or cancellation is material to the implementation or delivery of the project.	<b>Part A: General Overview</b> <b>Part B: Substantive AEE Resource Consent Package</b>	
Section 42(4)(h)	A wildlife approval as defined in clause 1 of Schedule 7	<b>Part C Wildlife Approval</b>	The substantive application includes an application for a wildlife approval.

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
Section 42(4)(i)	An archaeological authority described in section 44(a) or (b) of the Heritage New Zealand Pouhere Taonga Act 2014 that would otherwise be applied for under that Act.	<b>Part A: General Overview</b>  <b>Part D: Archaeological Authority</b>	The substantive application includes an application for an archaeological authority.
Section 42(4)(j)	an approval, a dispensation, or an authorisation that would otherwise be applied for under any of the following in respect of a complex freshwater fisheries activity: (i) regulation 42 of the Freshwater Fisheries Regulations 1983 (culvert or ford); (ii) regulation 43 of the Freshwater Fisheries Regulations 1983 (dam or diversion structure); (iii) regulation 65(2) of the Freshwater Fisheries Regulations 1983 (noxious fish); (iv) section 26ZM(2)(a) or (3)(b) of the Conservation Act 1987 (fish salvage activities):	Part A: General Overview  <b>Part E Complex Freshwater Fisheries Activities pack; Part B: B7.2 Ecological Effects; B7.7 Hydrology &amp; Groundwater; B8.1 Proposed Conditions.</b>	The substantive application includes applications for complex freshwater fisheries activities related to (a) the permanent diversion of the Mangapū Tributary and the haul road culverts and fish salvage The 2025 Amendment Act expands the scope of complex freshwater fisheries approvals by allowing Winstone to seek approvals etc in relation to reg 65(2) (noxious fish) and s 26ZM of the Conservation Act 1987 (fish salvage activities).  Reg 65(2) (noxious fish) is not relevant.  Section 26ZM(2)(a) (fish salvage) is relevant as Winstone seeking approval to salvage fish (take from the existing stream, then reintroduce into the realigned stream which is part of a stream system in which they already exist).
Section 42(5)(a)	A substantive application that seeks an approval described in section 42(4)(a) may seek an approval for an activity that is a prohibited activity.	N/A – no prohibited activities sought.  <b>Part A: A2.3 Project Eligibility; Part B: B4.4 Permitted Activities</b>	
Section 42(5)(b)	The authorised person must, if s 30(6) applies, be lodged within the time frame specified in that section.	The authorised person has lodged the application within the time period specified in s 30(6).  <b>Part A: A3.3 Section 30 Requirements – Existing Resource Consents; Appendix A6.5 Section 30 Written Notice from Auckland Council.</b>	
Section 42(7)	A substantive application may seek	N/A – no certificate of compliance sought.	

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
	an approval described in section 42(4)(c) only if the substantive application also seeks an approval described in section 42(4)(a) or (d).		
Section 42(8)	A substantive application that seeks an approval described in section 42(4)(f) must comply with section 35(9).	N/A – no land exchange proposed.	
Section 42(9)(a)	A substantive application that seeks an approval for an archaeological authority must also seek an approval described in section 42(4)(a) or (d).	The Substantive Application seeks an archaeological authority and a resource consent under section (4)(a).  <b>Part A: A2.1 Approvals sought; Part D Archaeological Authority</b>	
Section 42(9)(b)	A substantive application that seeks an approval for archaeological authority may include an application for approval for approval of person to carry out activity.	<b>Part A: General Overview</b> <b>Part D: D9 Application for approval of person to carry out activity</b>	
Section 42(10)	A substantive application may seek an approval described in section 42(4)(l) if the application is the holder of an appropriate permit under section 61 of the Crown Minerals Act 1991.	N/A – no mining permits sought.	
Section 42(12)	If a substantive application is lodged by more than one authorised person, the application for the purpose of sections 42(10) and (11).	N/A – Winstone Aggregates (a division of Fletcher Concrete and Infrastructure Limited) is the authorised person for the Schedule 2 listing – no other persons are lodging this Substantive Application.	
Section 42(13)	If the authorised person has applied under section 39 for a determination under sections 23 or 24, the	N/A – Sections 23 and 24 do not apply to the Substantive Application.	

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
	substantive application must comply with section 39(5).		
<b>Section 43(1) – Requirements for substantive application</b>			
Section 43(1)(a)	Form and manner approved by the EPA.	The prescribed form for the substantive application has been completed. Appendix <b>A6.1 FTAA Substantive Application Form</b>	
Section 43(1)(b)(i)	Explain how the project is an infrastructure and development project with significant regional or national benefits (ie purpose of the Act).	Part A: <b>A4 FTAA Purpose and Alignment of Project; A4.1 Significant Regional and National Benefits;</b> Part B: <b>B7.15 Economic Effects</b>	See also <b>Appendix B12.4.4 Economic Assessment.</b>
Section 43(1)(c)	Demonstrate that the project does not involve any ineligible activities.	<b>Part A: A2.3 Project Eligibility; A2.7 Information Requirements for Substantive Application; A6.2 Section 5 Checklist</b>	The Project does not include any ineligible activities.
Section 43(1)(d)	If the application is lodged by more than one authorised person, must state the proposed approval to be held by each person.	N/A – the Substantive Application is not lodged by more than one authorised person.	
Section 43(1)(e)(i)	Any information requirements specified by the Minister under section 27(3)(b)(ii), including information requested by the Minister specifically for the activity being applied for.	N/A – There are no requirements for the Substantive Application as specified by the Minister’s decision on the referral application, as the application is for a Schedule 2 listed project.	
Section 43(1)(e)(ii)	The information requirements must comply with the requirements outlined in section 43(3).	The substantive application complies with the information requirements in section 43(3), specifically section 43(3)(a) (information for resource consents) including subdivision and reclamation requirements Section 43(3) (b) information for a change or cancellation of resource consent conditions, section 43(3)(h) (information for wildlife approvals) and section 43(3)(1) (information for archaeological authorities) and section 43(3)(j) complex freshwater fisheries.  See below.	
Section 43(1)(f)	If authorised person has applied under section 39 for a	N/A – the applicant has not applied under s 39 for a determination.	

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
	determination under section 23 or 24, must include a copy of the notice under section 39(4).		
Section 43(1)(g)	If the application seeks an approval for an activity that is subject to a determination under section 23, set out the steps taken to secure the agreement referred to in section 5(1)(a).	N/A – the applicant is not seeking a determination under s 23.	
Section 43(1)(h)	State whether the application relates to a priority project and that, to the best of the applicant's knowledge, there are no competing applications.	The Substantive Application is not a priority project. To the best of Winstone's knowledge, there are no competing applications.  Part A: <b>A2.5 Confirmation Project is not a Priority Project</b> ; Appendix <b>A6.1 FTAA Substantive Application Form</b> ; Appendix <b>A6.5 Section 30 Letter from Auckland Council</b> .	
Section 43(1)(i)	Must be made by the deadline specified in the notice under section 28(3)(d).	N/A as this is a listed project, not a referred project	
Section 43(1)(j)	Must not lodge a substantive application unless any fee, charge or levy payable under regulations in respect of the application is paid.	Winstone has paid the necessary lodgement fee in respect of the substantive application.  Part A: <b>A3.4 Payment of Any Fees Charges or Levies</b>	
Section 43(2)(a)	If the substantive application is for a listed project, it must also contain the information required by section 13(4) (other than section 13(4)(b), (f)(ii) and (iii) and (g)).	As a listed project, the Substantive Application contains all the information required by section 13(4) (other than section 13(4)(b), (f)(ii) and (iii) and (g)).  Part A: <b>A2.7 Information Requirements for Substantive Application</b> ; Appendix <b>A6.3 FTAA Checklist</b>  Note that the requirements of s 13(4) have changed from 31 March 2026, as captured in the edits below.	
Section 43(2)(b)	If the project is planned to proceed in stages, an outline of the nature and timing of the stages, whether a separate substantive application is to be lodged for each of the stages, and how each stage meets the criteria in section 22.	N/A - the project is not planned to proceed in stages, in terms of it being a staged project for the purposes of section 13(4)(f)(i) and section 43(2)(b). An application is made, and approval is sought, for the entire listed project.  Part A: <b>A5 The Project</b> ; Appendix <b>A6.6 Indicative construction sequence and programme</b> ; Part B: <b>B3.2 Indicative construction sequence and programme</b>	

<b>Table 1: Sections 42-43 Information requirements</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
Section 43(2)(c)	The information that the applicant provided to the Minister when applying to have the project listed as a listed project and an explanation of how the substantive application is within the scope of the listed project	See Part A: <b>A2.4 Relationship to Listed Project; A5 The Project; Appendix A6.12 Application for Listing</b>	
<b>Section 43(2)(a) – Requirements for substantive application</b>			
Section 13(4)(a)	A description of the project and the activities it involves.	<b>Part A: A5 The Project; Part B: B3 Proposed Activity</b>	N/A
Section 13(4)(c)	Information to demonstrate that the project does not involve any ineligible activities (other than activities that may be the subject of a determination under section 23 or 24).	<b>Part A: A2.3 Project Eligibility; Appendix A6.3 FTAA Checklist</b>	
Section 13(4)(d)	A description or map of the whole project area that identifies its boundaries in sufficient detail to enable consideration of the referral application.	<b>Part A: A1.1 Location map; A2.6 Land to which the Activity Relates; Appendix B12.2 Location Plan; B12.3 Plans of the Proposal</b>	
Section 13(4)(e)	The anticipated commencement and completion dates for construction activities (where relevant).	<b>Appendix A6.6 Indicative construction sequence and programme; Part B: B3.2 Indicative construction sequence and programme</b>	The Project is a 80 year quarry development. During the lifetime of the quarry continuous aggregate extraction will occur over eight indicative stages of engineering design for the proposed pit shell (until aggregate resource is exhausted).

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
Section 13(4)(f)(i)	A statement of whether the project is planned to proceed in stages and, if so an outline of the nature and timing of the stages.	Part A: <b>A5 The Project</b> ; Appendix <b>A6.6 Indicative construction sequence and programme</b> ; Part B: <b>B3.2 Indicative construction sequence and programme</b> ;	N/A - the project is not planned to proceed in stages, in terms of it being a staged project for the purposes of section 13(4)(f)(i) and section 43(2)(b). An application is made, and approval is sought, for the entire listed project.
Section 13(4)(h)	A description of the anticipated and known adverse effects of the project on the environment and the significance of those effects.	<b>Part B: B7 Assessment of Effects; B7.16 Summary of Effects; Appendix B12.4 Technical Reports</b>	The anticipated and known adverse effects on the environment, and their significance, are described in the AEE.
Section 13(4)(i)	A statement of any activities involves in the project that are prohibited activities under the Resource Management Act 1991	<b>Part A: A2.3 Project Eligibility.</b>	N/A – the Substantive Application does not include any prohibited activities.
Section 13(4)(j)	A list of the persons and groups the application considers are likely to be affected by the project.	Part B: <b>B2.18 Land Ownership and Occupancy; B5.1– B5.4 Consultation; Appendix 6.4 Consultation Summary Report Appendix A6.7 Iwi Engagement Report; Appendix B12.6 Full Names and Address of Owners and Occupiers</b>	Potentially affected persons and groups include: <ul style="list-style-type: none"> <li>• Adjacent property owners.</li> <li>• Iwi / hapū identified in Part A: Substantive Overview and Appendix 6.7.</li> <li>• Bore Owners.</li> </ul>
Section 13(4)(k) Section 13(4)(ka)	Consultation summary and how it has informed the project.  Summary of how any responses to notifications to mana whenua and councils have informed the project.	<b>Appendix A6.4 Consultation Summary Report; Appendix A6.7 Iwi Engagement Report; Part B: B5 Consultation</b>	

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
Section 13(4)(l)	A list of any Treaty settlements that apply to the project area, and a summary of the relevant principles and provisions in those settlements.	<b>Part A: A3.2 Treaty Settlements; ; Appendix A6.7 Iwi Engagement Report; Appendix A6.10 Statutory Acknowledgements ; Part B: B2.16 Treaty Settlements and Māori Interests</b>	<ul style="list-style-type: none"> <li>a. Ngāti Tamaoho - the Ngāti Tamaoho Claims Settlement Act 2018</li> <li>b. Ngāi Tai ki Tamaki - Ngāi Tai ki Tamaki Claims Settlement Act 2018</li> <li>c. Ngāti Paoa - Ngāti Paoa Claims Settlement Act 2025</li> <li>d. Tāmaki Makaurau Collective - Ngā Mana Whenua o Tāmaki Makaurau Collective Redress Act 2014</li> <li>e. Waikato-Tainui - Waikato Raupatu Claims Settlement Act 1995</li> <li>f. Te Ākitai Waiohua - Te Ākitai Waiohua Deed of Settlement 12 November 2021</li> <li>g. The Marutūāhu Iwi Collective Deed of Settlement initialed on 27 July 2018</li> <li>h. Ngaati Whanaunga - Ngaati Whanaunga Deed of Settlement 25 August 2017</li> <li>i. Ngāti Tamaterā - Ngāti Tamaterā Deed of Settlement 20 September 2017</li> <li>j. Ngāti Te Ata - Ngāti Te Ata Terms of Negotiation 29 Jun 2011</li> <li>k. Ngāti Maru (Hauraki) - Ngāti Maru (Hauraki) Deed of Settlement 8 September 2017.</li> </ul> <p>For a summary of the relevant principles, see <b>Appendix A6.7</b>.</p>
Section 13(4)(n)	A description of any processes already undertaken under the Public Works Act 1981?	N/A	
Section 13(2)(n)	A statement of any relevant principles or provisions in the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019	N/A	
Section 13(4)(o)	Information identifying the parcels of Māori land, marae, and identified wāhi tapu within the project area.	<b>Part A: A3.2 Treaty Settlements; Appendix A6.7 Iwi Engagement Report; Part B: B2.16 Treaty Settlements and Māori Interests; B7.13 Cultural Values</b>	<p>Winstone has not been made aware (to date) of any Māori land, marae, or identified wāhi tapu within the project areas for which consent is sought.</p> <p>Note that there is a Pā site listed as being culturally significant in the Waikato District Plan at the Mere Mere offset site. This feature has been avoided offset planting.</p>
Section 13(4)(s)	A description of the applicant's legal interest (if any) in the land on which the project will occur, including a statement of how that affects the applicant's ability to undertake the work.	<b>Part A: A2.6 Land to which the Activity Relates; Appendix A6.9 Records of Title; Part B: B2.18 Land Ownership and Occupancy</b>	<p>The vast majority of the land on which the project will occur is currently owned by FCIL.</p> <p>The exception to this, is as noted below, the proposal will include the stopping of a paper road owned by Auckland Council pursuant to the Local Government Act 1974 or the Public Works Act 1981. On stopping of the road, FCIL will enter into arrangements with Auckland Council to acquire the necessary legal interests in the underlying land.</p> <p>The land which the boundary adjustment relates is owned by a neighbour to the site. The consent for boundary adjustment is sought to allow FCIL to acquire this land as part of an agreement to mitigate effects on that neighbour but does not form part of the project area.</p> <p>The Hunua and Mere Mere Offset sites are also owned by FCIL. The Hunua Ranges offset site form part of the Regional Park owned by Auckland Council. Auckland Council have provided their written approval for their land to be used for offset works in connection with the project.</p>

**Table 1: Sections 42-43 Information requirements**

FTA provisions	Information	Document	Comments
Section 13(4)(t)	An outline of the types of consents, certificates, designations, concessions, and other legal authorisations (other than contractual authorisations or the proposed approvals) that the applicant considers are needed to authorise the project.	<b>Part A: A2.1 Approvals sought; Part B: B3.4 Other Approvals Required; B4 Resource Consents</b>	<p>This includes:</p> <ul style="list-style-type: none"> <li>- Overseas Investment Office Approval under the Overseas Investment Act 2005. (this has already been obtained)</li> <li>- Revocation of Vegetation Covenants in favour of Auckland Council by agreement under Land Transfer Act 2007.</li> <li>- Stopping of a Paper Road under Local Government Act 1974 or Public Works Act 1981.</li> <li>- Consents under the RMA for the relocation of Counties power transmission lines (prior to stage 7).</li> </ul>
Section 13(4)(u)	<p>Whether any activities that are involved in the project, or are substantially the same as those involved in the project, have been the subject of an application or a decision under a specified Act - and</p> <p>(i) If an application has been made, details of the application:</p> <p>(ii) If a decision has been made, the outcome of the decision and reasons for it;</p>	<b>Part A: A3.3. Section 30 Requirements – Existing Resource Consents; Part B: B4.1 Existing Resource Consents.</b>	<p>Part of the Hunua Quarry Development require amendment of existing resource consents that have been previously considered and granted to Winstone under the RMA 1991. There is also an overlap with existing permits held by Winstone obtained under the Wildlife Act 1987.</p> <p>The approvals sought under the FTAA seek realignment of those existing approvals to better align with the new approvals required for the expansion of the Symonds Hill Pit and associated operations.</p> <p>Not all consents are being superseded; some are having changes in conditions, and some are being cancelled.</p>
Section 13(4)(v)	A description of whether and how the project would be affected by climate change and natural hazards.	<b>Part B: B B7.14 Climate Change and Natural Hazards; Appendix B12.4.8 Geotechnical Assessment Report; B12.4.9 Groundwater Effects Assessment B12.4.13 Stream Crossing Report B12.4.15 West Haul Road Culvert Design</b>	

**Table 1: Sections 42-43 Information requirements**

FTA provisions	Information	Document	Comments
		<p><b>and Flood Risk Assessment B12.4.6 Mangappū Tributary Realignment – Preliminary Design and Effects Technical Report B12.4.7 Erosion and Sediment Control Assessment Report</b></p>	
Section 13(4)(w)	If the referral application is lodged by more than one person, a statement of each proposed approval.	N/A	Application is lodged by sole authorised person.
Section 13(4)(x)	A summary of compliance or enforcement actions, taken against the applicant	<p><b>Part A: A5.2 Compliance and Enforcement History; Appendix A6.11 Hunua Quarry Compliance Record; Part B: B2.4 Compliance History and Enforcement Actions</b></p>	Auckland Council has not undertaken any enforcement action against Winstone Aggregates (a division of Fletcher Concrete & Infrastructure Ltd) at Hunua Quarry. The site operates in compliance with its existing consent conditions and environmental obligations.
Section 13(4)(y)(i)	If the proposed approvals include an approval for a resource consent, the information specified in cl 2, Sch 5.	See below – Table 2	See below – Table 2
Section 13(4)(y)(ii)	If the approvals include an approval for change or cancellation of resource consent condition, the information in cl.3 of Sch. 5.	See below -Table 2	See below -Table 2
Section 13(4)(y)(vi)	If the Project includes a standard freshwater fisheries activity, or a complex freshwater fisheries activity the information specified in clause 2 of Sch. 9	See below Table 5	See below Table 5

Table 1: Sections 42-43 Information requirements			
FTA provisions	Information	Document	Comments
Section 13(y)(via)	If the project includes a complex freshwater fisheries activity approval, the information specified in clause 2 of Schedule 9:	See below Table 5	See below Table 5
<b>Section 43(3) – Requirements for substantive application</b>			
Section 43(3)(a)	Must comply with clauses 5 to 8 of Schedule 5 if seeking an approval for an application or a resource consent.	See below.	See below – Table 2
Section 43(3)(b)	Must comply with Clause 10 of Schedule 5 if seeking an approval for an application to change or cancel a consent condition.	See below -Table 3	See below -Table 3
Section 43(3)(j)	Must comply with Clause 3 of Schedule 9 if seeking an approval for a complex freshwater fisheries activity approval.	See below Table 5	See below Table 5
Section 43(3)(h)	Must comply with clause 2 of Schedule 7 if seeking an approval for a wildlife approval.	See below – Table 5.	See below – Table 5.
Section 43(3)(i)	Must comply with clause 2 of Schedule 8 if seeking an approval for an archaeological authority.	See below – Table 4.	See below – Table 4.

**Table 2 Schedule 5 Application for Resource Consents**

Table 2: Schedule 5 (Application for Resource Consent)			
FTA provisions	Information	Document	Comments
<b>Schedule 5, Clause 2 – information required in consent application under s 13(4)(y)(i)</b>			
Clause 2(a)(i)-(iii)	An assessment of the project against any relevant national policy statements, national environmental standards,	<b>Part B: B6 Planning Framework; B9 Statutory Assessment; Appendix B12.9 Relevant Objectives,</b>	

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
	and any New Zealand Coastal Policy Statement (if relevant).	<b>Policies and Statutory Assessment; B12.10 Compliance Table for the Relevant Plans and Planning Instruments</b>	
Clause 2(b)	In relation to any proposed approval that is a resource consent, whether to the best of the applicant's knowledge, there are any existing resource consents of the kinds referred to in section 30(3)(a)	<b>Part A: A3.3 Section 30 Requirements – Existing Resource Consents; Appendix A6.5 Section 30 Written Notice from Auckland Council</b>	Council has confirmed that there are no existing resource consents to which ss 124c(1)(c) or 165ZI of the RMA would apply and has issued a notice pursuant to s 30(3)(b).
Clause 4A	(a) whether an in-stream structure is proposed (including formal notification of any dam or diversion structure) and the extent to which the proposed structure may impede fish passage; and (b) whether any fish salvage activities are proposed.	<b>Part E Complex Freshwater Fisheries Activities; Part B: B7.2 Ecological Effects; B7.7 Hydrology &amp; Groundwater; B8.1 Proposed Conditions; Appendix B12.4.5 Ecological Assessment; B12.4.14 Stream Crossing Report; B12.8.3 Aquatic Fauna Salvage and Relocation Plan</b>	
<b>Schedule 5, Clause 5 – information required in consent application</b>			
Clause 5(1)(a)	Description of the proposed activity.	<b>Part A: A5 The Project; Part B: B3 Proposed Activity</b>	A description of the proposed activity is included in each Technical Report, in <b>Part A, and in Part B3..</b>
Clause 5(1)(b)	A description and map of the site which the activity is to occur, including whether the site is within or adjacent to a statutory area (per a relevant Treaty settlement Act).	<b>Part A: A1.1 Location map; A2.6 Land to which the Activity Relates; A3.2 Treaty Settlements; Appendix A6.10 Statutory Acknowledgements; Appendix B12.2 Location Plan; B12.3 Plans of the Proposal</b>	

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
Clause 5(1)(c)	Confirmation that the consent application complies with sections 46(2)(a), (b) and (d).	Refer above and to application forms.	
Clause 5(1)(d) and 5(6)	Full name and address of each owner of the site and of land adjacent to it; and each occupier of the site and land adjacent to the site who the application is unable to identify after reasonable inquiry.	<b>Part A: Appendix A6.4 Consultation Summary Report; Part B: B2.21 Land Ownership and Occupancy; Appendix B12.6 Full Names and Address of Owners and Occupiers; Appendix A6.9 Records of Title</b>	
Clause 5(1)(e)	Description of any other activities that are part of the proposal to which the consent application relates.	<b>B4.4 Permitted Activities; Parts C-E.</b>	The other activities that are part of the proposal to which the consent application relates to are the proposed changes to or cancellation of consent conditions, the wildlife approval, the archaeological authority and both standard and complex freshwater fishery approvals. These are identified and described through the relevant overview reports / applications and technical assessments.  Part B4.4 of the AEE includes an assessment of permitted activities, including those at offset sites.
Clause 5(1)(f)	Description of any other resource consents required for the project to which the consent application relates.	N/A	N/A – at present, there are no other resource consents, notices of requirement or alterations to existing designations required for the Project to which this substantive application relates.  Depending on further site investigations, there may be a need to seek an approval pursuant to reg 43 of the Freshwater Fisheries Regulations 1983 for a complex freshwater fisheries activity in relation to proposed wetland works on the Hunua Road offset sites, as they may include a permanent weir. If consent is required for a weir, it will be sought separately outside of the FTAA process.
Clause 5(1)(g)	An assessment of the activity against sections 5, 6 and 7 of the RMA	<b>Part B: B6 Planning Framework; B9.1 RMA s104(1)(a) and (b); B9.10 Part 2 RMA</b>	An assessment of the proposed resource consent against sections 5, 6 and 7 of the RMA is provided in the AEE.
Clause 5(1)(h) (and also clauses 5(2) and 5(3))	An assessment of the activity against any relevant provisions and requirements in: <ul style="list-style-type: none"> <li>• A national environment standard</li> <li>• Other regulations made under the RMA</li> <li>• A national policy statement</li> <li>• A New Zealand coastal policy statement</li> </ul>	<b>Part B: B6 Planning Framework; B9.2–B9.8; B12.9 Relevant Objectives, Policies and Statutory Assessment; B12.10 Compliance Table for the Relevant Plans and Planning Instruments</b>	

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
	<ul style="list-style-type: none"> <li>• A regional policy statement or proposed regional policy statement</li> <li>• A plan or proposed plan</li> <li>• A planning document recognised by a relevant iwi authority and lodged with a local authority.</li> </ul>		
Clause 5(1)(i) and (ii)	Information about any Treaty settlements including identification of the relevant provision in those treaty settlements and a summary of any redress provided by those settlements that affects natural and physical resources relevant to the project or project area.	<b>Part A: A;3.2 Treaty Settlements Appendix A6.7 Iwi Engagement Report; A6.10 Statutory Acknowledgements; Part B: B2.16 Treaty Settlements and Māori Interests</b>	See also above re s 13(4)(l).
Clause 5(1)(j)	A list of any relevant customary marine title groups, protected customary rights groups.		N/A – there are no customary marine title groups of protected customary rights groups.
Clause 5(1)(k)	Any Conditions proposed by the applicant.	<b>Part B: B8.1 Proposed Conditions; Appendix B12.7 Draft Conditions; Appendix B12.8 Management Plans</b>	
Clause 5(1)(l)	If a notice under section 30(3)(b) or (5) has been received.	<b>Part A: A3.3 Section 30 Requirements – Existing Resource Consents; Appendix A6.5 Section 30 Written Notice from Auckland Council</b>	Auckland Council has confirmed that there are no issues under section 30(3)(b) FTAA, and has issued a notice to that effect – see <b>Appendix A6.5..</b>
Clause 5(4)(a)	An assessment of the activity's effects on the environment that includes the information required by clause 6.	<b>Part B: B7 Assessment of Effects; Appendix B12.4 Technical Reports</b>	Refer to the AEE for the assessment.

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
Clause 5(4)(b)	An assessment of the activity's effects on the environment that covers the matters specified in clause 7.	Part B: <b>B7 Assessment of Effects</b> ; Appendix <b>B12.4 Technical Reports</b>	Refer to the AEE for this assessment.
Clause 5(5)(a)	A consent application must also include the following information:  If a permitted activity is part of the proposal to which the consent application relates, a description that demonstrates that the activity complies with the requirements, conditions, and permissions for the permitted activity.	Part B: <b>B4.4 Permitted Activities</b>	
Clause 5(6)	If the applicant is not able to supply the name and address of the owner and each occupier of the site and of land adjacent to the site because the land is Māori land in multiple ownership, must include a statement to that effect.	N/A	N/A – No Māori land in multiple ownership is located on the site, or on land adjacent to the site.
Clause 5(7)	If the substantive application is lodged by more than one authorised person, the references to the applicant in subclauses (1)(d), (k), (l) and (6) must be read as references to the authorised person who is to be identified in the application as the proposed holder of the resource consent.	N/A	N/A – Winstone Aggregates is the only authorised person for the Substantive Application and the only person lodging this application.
<b>Schedule 5, clause 6 – information required to assess environment effects – to be included in AEE</b>			
Clause 6(1)	(a) An assessment of the actual or potential effects on the environment.	<b>Part B: B7 Assessment of Effects</b> ; Appendix <b>B12.4 Technical Reports</b>	Refer to the AEE for this assessment.
	(b) If an activity includes the use of hazardous installations, an	N/A	N/A – The resource consent approval does not seek the use of hazardous installations.

**Table 2: Schedule 5 (Application for Resource Consent)**

FTA provisions	Information	Document	Comments
	assessment of any risk to the environment that are likely to arise from such use.		
	(c) Description of the nature of the discharges and the sensitivity of the receiving environment to adverse effects and any possible alternative methods of discharges, including discharge into any other receiving environment.	<b>Part B: B7.5 Dust Effects; B7.7 Hydrology and Groundwater; B7.8 Land Disturbance; Appendix B12.4.1 Air Quality Assessment; B12.4.5 Ecological Assessment; B12.4.7 Erosion and Sediment Control Assessment Report; B12.4.9 Groundwater Effects Assessment</b>	
	(d) Description of mitigation measures to reduce potential effect of the activity.	<b>Part B: B8 Conditions; Appendix B12.7 Draft Conditions; B12.8 Management Plans</b>	
	(e) Identification of persons who may be affected by the activity, and any response to the views of any persons consulted, including the views of iwi or hapū that have been consulted in relation to the proposal.	Part B: <b>B2.21 Land Ownership and Occupancy; B5 Consultation</b> ; Appendix <b>A6.4 Consultation Summary Report; A6.7 Iwi Engagement Report</b> ; Appendix <b>B12.11 Written Approvals; B12.6 Full Names and Address of Owners and Occupiers</b>	
	(f) Engagement records with iwi / hapū, including iwi / hapū that elected not to respond when consulted and reasons for that decision.	<b>Part A: A3.2 Treaty Settlements; Appendix A6.7 Iwi Engagement Report; Part B: B5.2 Iwi Engagement</b>	
	(g) if the scale and significance of the activities effects are such that monitoring is required a description of proposed monitoring measures and by whom	Part B: <b>B8.2 Monitoring Measures</b> ; Appendix <b>B12.8 Management Plans</b>	

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
	(h) Assessment of any effects of the activity on the exercise of a protected customary right	N/A	N/A - no protected customary rights are affected by the Project.
<b>Schedule 5, clause 7 – matters to be covered in assessment of environmental effects</b>			
Clause 7	(a) Any effect on the people in the neighbourhood and, if relevant, the wider community, including any social, economic or cultural effects.	<b>Part B: B7 Assessment of Effects.</b>  <b>See also B12.4 Technical Assessments.</b> .	
	(b) Any physical effect on the locality, including landscape and visual effects	Part B: <b>B7.6 Landscape, Visual and Natural Character Effects;</b> Appendix <b>B12.4.10 Landscape Effects Assessment</b>	
	(c) Any effect on ecosystems, including effects on plants or animals and physical disturbance of habitats in the vicinity	Part B: <b>B7.2 Ecological Effects;</b> Appendix <b>B12.4.5 Ecological Assessment</b>	
	(d) Any effect on natural and physical resources that have aesthetic, recreational, scientific, historical, spiritual, or cultural value, or other special value, for present or future generations	Part B: <b>B7.3 Archaeological &amp; Heritage Values; B7.13 Cultural Values; B7.6 Landscape, Visual and Natural Character Effects;</b> Appendix <b>A6.7 Iwi Engagement Report; B12.4.2 Archaeological Assessment</b>	
	(e) Any discharge of contaminants into the environment and options for the treatment and disposal of contaminants	Part B: <b>B7.5 Dust Effects; B7.7 Hydrology &amp; Groundwater; B7.8 Land Disturbance;</b> Appendix <b>B12.4.1 Air Quality Assessment; B12.4.7 Erosion and Sediment Control Assessment Report;</b>	

**Table 2: Schedule 5 (Application for Resource Consent)**

FTA provisions	Information	Document	Comments
		<b>B12.4.9 Groundwater Effects Assessment</b>	
	(f) Any unreasonable emission of noise	Part B: <b>B7.10 Noise &amp; Vibration</b> ; Appendix <b>B12.4.11 Acoustics and Vibration Assessment</b>	
	(g) Any risk to the neighbourhood, the wider community, or the environment through natural hazards	Part B: <b>B2.7 Climate / Meteorological Conditions</b> ; <b>B7.14 Climate Change and Natural Hazards</b> ; Appendix <b>B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report</b> ; <b>B12.4.7 Erosion and Sediment Control Assessment Report</b> ; Appendix <b>B12.4.8 Geotechnical Assessment Report</b> ; <b>B12.4.9 Groundwater Effects Assessment</b> ; Appendix <b>B12.4.15 West Haul Road Culvert Design and Flood Risk Assessment</b>	

**Table 2: Schedule 5 (Application for Resource Consent)**

FTA provisions	Information	Document	Comments
<p>Clause 8(1) <b>(Information Required for subdivision consents)</b></p>	(a) the position of all boundaries.	<p><b>Appendix B12.2 Location Plans; Appendix B12.3 Plans of the Proposal; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report;</b></p>	<p>There is also a proposed boundary adjustment required to address effects on adjacent land (to enable FCIL to purchase that land and for effects on that land to be disregarded – via affected party approval). See <b>A5.1.1 Range of activities and approvals needed</b>.</p>
	(b) the areas of all new allotments, unless the subdivision involves a cross lease, company lease or unit plan		<p>The boundary adjustment referred to above will result in an area of 3.56ha being added to Lot 2 DP 115598, and a total area of 21.55 ha within the allotment. The corresponding allotment, Lot 6 DP 152736, will reduce to 50.173 ha.</p>
	(c) the locations and areas of new reserves to be created, including any esplanade reserves and esplanade strips		<p>N/A - no new reserves are to be created, as the parent lots in question are greater than 4 ha and there is no requirement to provide for an esplanade reserve.</p>
	(d) the locations of existing esplanade reserves, esplanade strips, and access strips		<p>N/A - there are no existing esplanade reserves within the Project area.</p>
	(e) the locations and areas of any part of the bed of a river or lake to be vested in a territorial authority under s 237A of the Resource Management Act 1991		<p>N/A.</p>
	(f) the locations and areas of any land within the coastal marine area that is to become part of the common marine and coastal area under s 237A of the Resource Management Act 1991		<p>N/A.</p>

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
	(g) the locations and areas of land to be set aside for new roads		N/A.
Clause 8(2) <b>Information required for reclamation consents</b>	In addition to the information required by Clause 5 a consent application for reclamation must show the area to be reclaimed including: <ul style="list-style-type: none"> <li>(a) The location of the area to be reclaimed.</li> <li>(b) If practicable, the position of all new boundaries.</li> <li>(c) Any part of the reclaimed area to be set aside as an esplanade strip.</li> </ul>	<b>Appendix B12.2 Location Plan; B12.3 Plans of the Proposal; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report.</b>	A plan of the location of the area to be reclaimed is included in Appendix B12.3. As FCIL owns the land on both sides of the stream to be reclaimed, and it is to be quarries, it is not practicable to identify the position of all new boundaries. Furthermore, and because the parent lot is larger than 4ha, there is no requirement to set land aside as an esplanade reserve or strip.
Clause 9, Schedule 5  Information required in application including standard freshwater fisheries activity	For the purposes of section 43(3)(a), a consent application for a project that includes a standard freshwater fisheries activity must include the information set out in clause 3 of Schedule 9.		N/A - no approval is sought for standard freshwater fisheries activities as part of the resource consent application.
Clause 10, Schedule 5  Information Required in	For the purposes of s43(3)(b) an application for the change or cancellation of a condition must include the same	<b>Part B: B4.4 Change/Cancellation of Conditions; B7 Assessment of</b>	

<b>Table 2: Schedule 5 (Application for Resource Consent)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
application for change or cancellation of condition	<p>information as required by clauses 5-9 and those clauses apply as if</p> <p>(a) the resource consent were references to the change or cancellation of condition; and</p> <p>(b) and an activity were references to the effects of the change and cancellation of the condition.</p>	<b>Effects; B8 Proposed Conditions; Appendix B12.4 Technical Assessments; Appendix B12.7 Draft Conditions; B12.8 Management Plans</b>	

<b>Table 3: Schedule 7 (Application for Wildlife Approval)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
<b>Schedule 7, clause 2 – Information required in application for wildlife approval</b>			
Clause 2(1)	(a) Specify the purpose of the proposed activity	<b>Part C: C2 Proposed Activity and Purpose</b>	
	(b) Identify the actions the applicant wishes to carry out involving protected wildlife and where they will be carried out (whether on or off public conservation land)	<b>Part C: C2 Proposed Activity and Purpose; Appendix B12.2 Location Plans, B12.3 Plans of the Proposal</b>	
	(c) An assessment of the activity and its impacts against the purpose of the Wildlife Act 1953	<b>Part C: C3 Assessment of Activity and its Impact; C5 Statutory Assessment; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
	(d) List protected wildlife species known or predicted to be in the area and, where possible, the numbers of wildlife present and numbers likely to be impacted	<b>Part C: C3.1 Habitat Description; C3 Assessment of Activity and its Impact; Appendix Part B: Appendix B12.4.5 Ecological Assessment</b>	
	(e) Outline impacts on threatened, data deficient, and at-risk wildlife species (as defined in the New	<b>Part C: C3 Assessment of Activity and its Impact; Part B: B7.2 Ecological Effects;</b>	

Table 3: Schedule 7 (Application for Wildlife Approval)			
FTA provisions	Information	Document	Comments
	Zealand Threat Classification System)	Appendix <b>B12.4.5 Ecological Assessment</b>	
	(f) State how the methods proposed to be used to conduct the actions specified under paragraph (b) will ensure that best practice standards are met	<b>Part C: C3 Assessment of Activity and its Impact; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	
	(g) Describe the methods to be used to safely, efficiently, and humanely catch, hold, or kill the animals and identify relevant animal ethics processes	<b>Part C: C3 Assessment of Activity and its Impact; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	
	(h) State the location or locations in which the activity will be carried out, including a map (and GPS coordinates if available)	<b>Part C: C2 Proposed Activity and Purpose; Appendix B12.2 Location Plans; B12.3 Plans of the Proposal</b>	
	(i) State whether authorisation is sought to temporarily hold or relocate wildlife	<b>Part C: C2 Proposed Activity and Purpose; C3 Assessment of Activity and its Impact; C4 Methods Appendix B12.8.3; B12.8.4</b>	The application includes authorisation to temporarily hold or relocate wildlife.
	(j) List all actual and potential wildlife effects (adverse and positive) of the proposed activity, including effects on the target species, other indigenous species, and the ecosystems at the site	<b>Part C: C3 Assessment of Activity and its Impact; C4 Methods; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
	(k) Where adverse effects are identified, state what methods will be used to avoid and minimise those effects, and any offsetting or compensation proposed to address unmitigated adverse effects (including steps taken before the project begins, such as surveying, salvaging,	<b>Part C: C3 Assessment of Activity and its Impact; C4 Methods; Part B: B8.1 Proposed Conditions; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	

<b>Table 3: Schedule 7 (Application for Wildlife Approval)</b>			
FTA provisions	Information	Document	Comments
	and relocating protected wildlife)		
	(l) State whether the applicant or any company director, trustee, partner, or anyone else involved with the application has been convicted of any offence under the Wildlife Act 1953	N/A	N/A – Winstone or any company director, trustee, partner or anyone else involved with the application has not been convicted of any offence under the Wildlife Act.
	(m) State whether the applicant or any company director, trustee, partner, or anyone else involved with the application had any current criminal charges under the Wildlife Act 1953 pending before a court	N/A	N/A – Winstone or any company director, trustee, partner or anyone else involved with the application do not have any current criminal charges under the Wildlife Act pending before a court.
	(n) Provide proof and details of all consultation, including with hapū or iwi, on the application specific to wildlife impacts	<b>Part C: C5.2 Consultation</b> <b>Part B: B2.21 Land Ownership and Occupancy; B5 Consultation; Appendix A6.4 Consultation Summary Report; A6.7 Iwi Engagement Report; Appendix B12.11 Written Approvals; B12.6 Full Names and Address of Owners and Occupiers</b>	
	(o) Provide any additional written expert views, advice, or opinions the applicant has obtained concerning their proposal	<b>Part B: Appendix B12.4.5 Ecological Assessment; B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	

<b>Table 3: Schedule 7 (Application for Wildlife Approval)</b>			
FTA provisions	Information	Document	Comments
<b>Schedule 7, clause 2 – Information required in application for wildlife approval</b>			
Clause 2(1)	(a) Specify the purpose of the proposed activity	<b>Part C: C2 Proposed Activity and Purpose</b>	
	(b) Identify the actions the applicant wishes to carry out involving protected wildlife and where they will be	<b>Part C: C2 Proposed Activity and Purpose; Appendix B12.2 Location Plans, B12.3 Plans of the Proposal</b>	

<b>Table 3: Schedule 7 (Application for Wildlife Approval)</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
	carried out (whether on or off public conservation land)		
	(c) An assessment of the activity and its impacts against the purpose of the Wildlife Act 1953	<b>Part C: C3 Assessment of Activity and its Impact; C5 Statutory Assessment; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
	(d) List protected wildlife species known or predicted to be in the area and, where possible, the numbers of wildlife present and numbers likely to be impacted	<b>Part C: C3.1 Habitat Description; C3 Assessment of Activity and its Impact; Appendix Part B: Appendix B12.4.5 Ecological Assessment</b>	
	(e) Outline impacts on threatened, data deficient, and at-risk wildlife species (as defined in the New Zealand Threat Classification System)	<b>Part C: C3 Assessment of Activity and its Impact; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
	(f) State how the methods proposed to be used to conduct the actions specified under paragraph (b) will ensure that best practice standards are met	<b>Part C: C3 Assessment of Activity and its Impact; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	
	(g) Describe the methods to be used to safely, efficiently, and humanely catch, hold, or kill the animals and identify relevant animal ethics processes	<b>Part C: C3 Assessment of Activity and its Impact; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	
	(h) State the location or locations in which the activity will be carried out, including a map (and GPS coordinates if available)	<b>Part C: C2 Proposed Activity and Purpose; Appendix B12.2 Location Plans; B12.3 Plans of the Proposal</b>	
	(i) State whether authorisation is sought to temporarily hold or relocate wildlife	<b>Part C: C2 Proposed Activity and Purpose; C3 Assessment of Activity and its Impact; C4 Methods Appendix B12.8.3; B12.8.4</b>	

Table 3: Schedule 7 (Application for Wildlife Approval)			
FTA provisions	Information	Document	Comments
	(j) List all actual and potential wildlife effects (adverse and positive) of the proposed activity, including effects on the target species, other indigenous species, and the ecosystems at the site	<b>Part C: C3 Assessment of Activity and its Impact; C4 Methods; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
	(k) Where adverse effects are identified, state what methods will be used to avoid and minimise those effects, and any offsetting or compensation proposed to address unmitigated adverse effects (including steps taken before the project begins, such as surveying, salvaging, and relocating protected wildlife)	<b>Part C: C3 Assessment of Activity and its Impact; C4 Methods; Part B: B8.1 Proposed Conditions; Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan; B12.8.4 Lizard Management Plan; B12.8.10 Ecological Management Plan</b>	

Table 5: Schedule 9 (Application for a Complex Freshwater Fishery Activity)			
FTA provisions	Information	Document	Comments
<b>Schedule 9, clause 2 – Information required in application for complex Freshwater Fishery Activity</b>			
cl.2(a) Sch. 9	Whether an in-stream structure is proposed (including formal notification of any dam or diversion structure) and extent to which this may impede fish passage.	<b>Part E: E3 Proposed Activity and Purpose; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.3 Plans of the Proposal</b>	In-stream structures, including two culverts and a stream diversion/realignment, require approval as complex freshwater fisheries activities.
cl.2(b), Sch 9.	whether any fish salvage activities or complex freshwater activities are proposed	<b>Part E: E3 Proposed Activity and Purpose; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment Appendix B12.8.3 Aquatic Fauna Salvage and Relocation Plan</b>	Fish salvage is proposed as part of the effects management approach for the stream realignment.
Cl3(a)(i) . Sch.9	A description of the type of structure or fish facility.	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix</b>	

Table 5: Schedule 9 (Application for a Complex Freshwater Fishery Activity)			
FTA provisions	Information	Document	Comments
		<b>B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl3(a)(i), Schedule 9	The dimension of the structure or fish facility	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(a)(i) Schedule 9	The design of the structure or fish facility.	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(a)(iv), Schedule 9	The placement of the structure or fish facility.	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(a)(v), Schedule 9	The water flows.	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects</b>	

**Table 5: Schedule 9 (Application for a Complex Freshwater Fishery Activity)**

FTA provisions	Information	Document	Comments
		<b>Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(a)(vi), Schedule 9	The operating regime.	<b>Part E: E3 Proposed Activity and Purpose; E4 Assessment of Activity and its Impact; Appendix B12.4.13 Stream Crossing Report; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(b) Schedule 9	The freshwater species and values present (with focus on threatened, data – deficient, and at risk species defined in the NZTCS).	<b>Part E: E2 Habitat Description; E4 Assessment of Activity and its Impact; Appendix B12.4.5 Ecological Assessment</b>	
Cl.3(c) Schedule 9	The water quality and quantity in the surrounding habitat (at the proposed structure location, upstream and downstream)	<b>Part E: E2 Habitat Description; E4 Assessment of Activity and its Impact; Appendix B12.4.5 Ecological Assessment; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	
Cl.3(d), Schedule 9	How the passage of fish will be provided for or impeded.	<b>Part E: E2 Habitat Description; E4 Assessment of Activity and its Impact; Appendix B12.4.9 Groundwater Effects Assessment; B12.4.13 Stream Crossing Report; B12.4.5 Ecological Assessment; B12.4.6 Mangapū Tributary Realignment – Preliminary Design and Effects Technical Report; B12.8.7 Stream Realignment Management Plan</b>	

<b>Table 6: Panel's Decision-Making Powers</b>			
<b>FTA provisions</b>	<b>Information</b>	<b>Document</b>	<b>Comments</b>
<b>Section 81 – Decisions on approvals sought in substantive application</b>			
Section 81(2)(b)	For the purpose of making the decision, the panel must apply the applicable clauses set out in subsection (3)	See below	See below
Section 81(3)(a)	For an approval described in section 42(4)(a) (resource consent), clauses 17 to 22 of Schedule 5	<b>Refer Part B: Resource Consents and AEE</b>	
Section 81(3)(i)	For an approval described in section 42(4)(h) (wildlife approval), clauses 5 and 6 of Schedule 7	<b>Refer Part C: Wildlife Approval Pack and Part B: Resource Consents and AEE</b>	
Section 81(3)(i)	For an approval described in section 42(4)(i) (archaeological authority), clauses 4 and 5 of Schedule 8	<b>Refer D – Archaeological Authority Pack and Part B: Resource Consents Part C: C4 Assessment of Activity and its Impact; C5 Statutory Assessment; C7 Conclusion; Part B: B7.2 Ecological Effects; Appendix B12.4.5 Ecological Assessment</b>	
Section 81(4)	The panel must consider the extent of the project's regional or national benefits	<b>Part A: A4 FTAA Purpose and Alignment of Project; A5 The Project Part B: B7.16 Economic Effects; Appendix B12.4.6 Economic Assessment; Appendix 12.4.17 Resource Report; Appendix B12.7 Draft Conditions; Appendix B12.8.</b>	
Section 81(5)	For the purposes of subsection (4), if the substantive application was made under section 42(1)(b), the panel must treat the stage of the project to which the application relates as constituting the project but may consider the regional or national benefits of the whole project, having regard to the likelihood that any later stages of the project will be completed.	N/A	N/A

<b>Table 6: Panel's Decision-Making Powers</b>			
FTA provisions	Information	Document	Comments
<b>Section 82 – Effect of Treaty settlement and other obligations on decision making</b>			
Section 82(2)	If the settlement or Act provides for the consideration of any document, the panel must give the document the same or equivalent effect through the panel's decision making as it would have under any relevant specified Act	<b>Part A: A3.2 Treaty Settlements; Appendix A6.7 Iwi Engagement Report; Part B: B5.2 Iwi Engagement</b>	
Section 82(3)	The panel must also consider whether granting the approval would comply with section 7	<b>Part A: A3.2 Treaty Settlements; Appendix A6.7 Iwi Engagement Report; Part B: B5.2 Iwi Engagement</b>	The Project will comply with s 7 of the FTAA.