Fast-track

Te Kāwanatanga o Aotearoa
New Zealand Government

Fast-track Approvals Act 2024 Substantive Application Form Guidance effective 31 March 2026

You will need to submit your substantive application using the digital form provided in the Fast-track application portal in accordance with sections 43(1)(a) and (4) of the Fast-track Approvals Act 2024 (**The Act**). This application form guidance will assist you with preparing your application in the manner required.

*Guidance note: You will need to prepare your application for submission in our digital Fast-track Portal. To submit your application successfully, you will be required to complete your application in accordance with the step by step instructions below. Please note that the guidance notes throughout this document serve as a **guide only** and are intended to help you become familiar with the process. It is not a substitute for the official application process, which you will undertake when you receive a log-in to the Fast-track Portal.*

Steps to prepare your application

1. **Accessing the digital Fast-track Portal**
   - You will be required to submit your application via our secure digital Fast-track Portal. Please ensure you have access to the Fast-track Portal and are able to log in before starting your application.
2. **Review the Requirements in this Application Form Guidance Document**
   - Carefully review the application requirements included in this document, including any supporting documents you must provide, which must be submitted using the digital form provided in the Fast-track application portal in accordance with sections 43(1)(a) and (4) of the Act.
3. **Prepare Your Documents**
   - Prepare all necessary files, consultation documents, and technical reports in advance. Ensure that your files are in the correct format (all popular formats such as e.g., PDF, Word and excel are supported) and meet the specified size limits (no more than 50 MB).
   - The EPA will publish your application on the Fast-track website. For this purpose, please prepare and provide to the EPA redacted versions of your application documents that omit all personal or otherwise confidential and commercially sensitive information.
4. **Complete information for all required approvals**
   - The Act provides for applicants to apply for a number of approvals under several existing Acts. The information required to lodge an application for each type of approval varies under the Act. Each of the checklists included in this document sets out these specific information requirements. If you are applying for multiple approvals, you will need to complete all relevant checklists.

- When preparing your application in the Fast-track Portal, you must select all relevant approval types and will be prompted to provide all the required information. Follow the instructions carefully, and ensure all required fields are completed before moving to the next stage. Before final submission, review your entire application to confirm that all details are accurate and that all supporting documents are attached. Mistakes or missing information may result in your application being assessed as incomplete.

5. Submission Confirmation

- Once your application is submitted in the Fast-track Portal, you will receive a confirmation email indicating that your application has been successfully received. If you do not receive this email, please contact our support team. Note that the email confirming receipt of your application does not mean that your application has been assessed as complete by the EPA for the purposes of section 46(1) of the Act.

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Part 1: Authorised person details

Guidance note:

This part collects key information about your project and the authorised person(s) for your project. If you have received a Notice of Decision for a Fast-track referral application, it is important that you enter the Unique Reference Number for that referral here.

Please provide details for all authorised persons.

- *For a listed project, the project name should be the same as that identified in Schedule 2 of the Act and the authorised person(s) should be the person(s) specified for the project listed in Schedule 2.*
- *For a referred project, the authorised person(s) should be the person(s) specified by the Minister as the person(s) authorised to lodge the substantive application under section 27(2).*
- *For either a listed or referred project, if there is more than 1 authorised person, details should be provided for all authorised persons.*

Please provide details of other project contacts where indicated, including agents and a finance contact. These details are important for efficient processing of your application.

Subsequent parts in this form refer to the 'applicant' or in some instances 'authorised person'. For the purposes of this form, this means the authorised person (and any agent) specified in this part.

Application Information

Project name:
Is this substantive application for a: ☐ listed project ☑ referred project
Referral application unique reference number: FTAA-2603-1180
Project description: *Guidance note: Please insert the project description as per Schedule 2 or the notice of decision on referral.* The project is to establish a masterplanned residential development within a project area of up to 33.7 hectares to deliver approximately 300 to 350 residential lots (to enable future housing construction), including supporting infrastructure (roading, three waters services, stormwater management) and riparian enhancement.

Authorised Person(s) Information

Authorised Person(s): CDL Land New Zealand Limited
Key contact name: Jackson Bull

Key contact organisation NZBN: 9429039259776	
Key contact organisation name: CDL LAND NEW ZEALAND LIMITED	
Key contact phone number: [redacted]	Key contact email address: [redacted]
Preferred method of service: ☑ Email ☐ Post	
Email or postal address for service: [redacted]	
Is there an Agent acting on behalf of the Authorised Person(s)? YES	

Agent for Authorised Person Information (if applicable)

Agent organisation NZBN: 9429036592944	
Agent organisation name: WOOD & PARTNERS CONSULTANTS LIMITED	
Agent contact name: Fergus McArthur	
Agent phone number: [redacted]	Agent email address: [redacted]
Preferred method of service: ☑ Email ☐ Post	
Email or postal address for service: [redacted]	
Please direct all correspondence from the EPA to: ☑ Authorised Person(s) ☑ Agent for Authorised Person(s)	

Financial Contact Information

Guidance note: Once you submit your application through the portal, the EPA Finance Team will issue an invoice for both the levy and the application fee. This invoice will be emailed directly to the finance contact you listed in Part 1 of your application.

Finance organisation name or individual to be invoiced: CDL LAND NEW ZEALAND LIMITED	
Finance organisation NZBN: 9429039259776	
Invoicing address: PO Box 3248, Shortland Street, Auckland 1140, Auckland, 1140, New Zealand	
Finance contact name: Geoff Donley	
Finance contact phone number: [redacted]	Finance contact email address: [redacted]

Part 2: General pre-lodgement requirements

Guidance note: This part addresses the pre-lodgement requirements relevant to substantive applications in Subpart 2 and sections 42 and 43. There are other pre-lodgement requirements specific to the type of approval(s) sought. These requirements are addressed in Part 3 of this application form – Types of approvals sought.

Please ensure that the information provided is specified in sufficient detail to satisfy the purpose to which it is required (section 44).

Subpart 1: Ineligible activities

Does the project involve any ineligible activities as defined in section 5 (section 43(1)(c))?

☐ Yes ☑ No

Does the project involve any activities that:

would occur on identified Māori land (section 5(1)(a)(i))?

☐ Yes ☑ No

If yes, has the activity been agreed to in writing by the owners of the land or been subject to a determination under section 23 (section 5(1)(a)(ii))?

☐ Yes ☐ No ☐ N/A pursuant to section 5(2)

would occur in a customary marine title area (section 5(1)(b)(i))?

☐ Yes ☑ No

If yes, has the activity been agreed to in writing by the customary marine title group (section 5(1)(b)(ii))?

☐ Yes ☐ No ☐ N/A pursuant to section 5(2)

would occur in a protected customary rights area and would have a more than minor adverse effect on the exercise of the protected customary right (sections 5(1)(c)(i) and (ii))?

☐ Yes ☑ No

If yes, has the activity been agreed to in writing by the protected customary rights group (section 5(1)(c)(iii))?

☐ Yes ☐ No

would occur on Māori customary land (section 5(1)(d)(i))?

☐ Yes ☑ No

would occur on land set apart as a Māori reservation as defined in section 4 of Te Ture Whenua Māori Act 1993 (section 5(1)(d)(ii))?

☐ Yes ☑ No

are an aquaculture activity or an activity that is incompatible with aquaculture activities that would occur within an aquaculture settlement area declared under section 12 of the Māori Commercial Aquaculture Claims Settlement Act 2004 or an area reserved under another Treaty settlement for the aquaculture activities of a particular group (section 5(1)(e)(i))?

☐ Yes ☑ No

If yes, does the project involve an aquaculture activity or an activity that is incompatible with aquaculture activities for which the applicant who is proposed to hold an approval described in section 42(4)(a) (resource consent) is not authorised to apply for a coastal permit under the Resource Management Act 1991 (section 5(1)(e)(ii))?

☐ Yes ☐ No

would require an access arrangement under section 61 or 61B of the Crown Minerals Act 1991 (section 5(1)(f)(i))?

☐ Yes ☑ No

If yes:

does the project involve any activities that could not be granted an access arrangement because of section 61(1A) of that Act (section 5(1)(f)(ii)(A))?

☐ Yes ☐ No

does the project involve any activities that would occur in an area for which a permit cannot be granted under that Act (section 5(1)(f)(ii)(B))?

☐ Yes ☐ No

would be prevented under section 165J, 165M, 165Q, 165ZC, or 165ZDB of the Resource Management Act 1991(section 5(1)(g))?

☐ Yes ☑ No

are an activity (other than an activity that would require an access arrangement under the Crown Minerals Act 1991) that would occur on land that is listed in Schedule 4 (section 5(1)(h)(i))?

☐ Yes ☑ No

If yes, has the activity been subject to a determination under section 24 (section 5(1)(h)(ii))?

☐ Yes ☐ No

would occur on a national reserve held under the Reserves Act 1977 and requires approval under the Reserves Act 1977 (sections 5(1)(i)(i) and (ii))?

☐ Yes ☑ No

If yes, has the activity been subject to a determination under section 24 (section 5(1)(i)(iii))?

☐ Yes ☐ No

would occur on a reserve held under the Reserves Act 1977 that is vested in someone other than the Crown or a local authority (section 5(1)(j)(i))?

☐ Yes ☑ No

If yes, has the activity been agreed to in writing by the person or persons in whom the reserve is vested (section 5(1)(j)(ii))?

☐ Yes ☐ No ☐ N/A pursuant to section 5(2)

would occur on a reserve held under the Reserves Act 1977 that is managed by someone other than the Department of Conservation or a local authority (section 5(1)(k))?

☐ Yes ☑No

If yes, has the activity been agreed to in writing by the person or persons responsible for managing it (section 5(1)(k)(ii))?

☐ Yes ☐ No ☐ N/A pursuant to sections 5(2) or (5)

are a prohibited activity under the Exclusive Economic Zone and Continental Shelf (Environmental Effects) Act 2012 or regulations made under that Act (section 5(1)(l)(i))?

☐ Yes ☑ No

are an activity that is described in section 15B of the Resource Management Act 1991 and is a prohibited activity under that Act or regulations made under it (section 5(1)(l)(ii))?

☐ Yes ☑ No

are an activity that is prohibited by section 15C of the Resource Management Act 1991 (section 5(1)(l)(iii))?

☐ Yes ☑ No

are a decommissioning-related activity (which is an activity described in section 38(3) of the Exclusive Economic Zone and Continental Shelf (Environmental Effects) Act 2012) (section 5(1)(m))?

☐ Yes ☑ No

are an activity undertaken for the purposes of an offshore renewable energy project (section 5(1)(n))?

☐ Yes ☑ No

Please reference any documents you have uploaded to the Portal as part of your application, which support the answers given in Part 2, Subpart 1 above.

Did you make an application under section 39 for a determination by the Minister under section 23 or 24 that the activity is not an ineligible activity (section 42(13))?

☐ Yes ☑ No

If yes, did you receive notice of the Minister's decision under section 39(4) that the activity is not an ineligible activity prior to making the substantive application, as required under section 39(5) (section 42(13))?

☐ Yes ☐ No

If no, the applicant may not make a substantive application under the Act.

If yes, please provide a copy of the notice provided under section 39(4) (section 43(1)(c)).

If this application is subject to a determination under section 23, whereby the Minister may determine that linear infrastructure on certain identified Māori land is not an ineligible activity, please explain the steps taken to secure the agreement with the owners of the land referred to in section 5(1)(a) (section 43(1)(g)).

Subpart 2: Priority project

Does the application relate to a priority project as defined in section 4(1) that has been determined by the Minister to be a priority project under section 38 (section 43(1)(h))?

☐ Yes ☑ No

If yes, to the best of your knowledge are there any competing applications?

☐ Yes ☐ No

If yes, please specify here:

Subpart 3: Referred project

Guidance note: Please only complete this subpart if this application is for a referred project. If this application is for a listed project please refer to Subpart 4: Listed project.

Has this application been made by the deadline specified in the notice from the Minister or as otherwise required by section 28(3)(d) (section 43(1)(i))?

☑ Yes ☐ No

Please provide a copy of the notice given under section 28.

Attached as Appendix 3

Does the application comply with any information requirements specified by the Minister under section 27(3)(b)(ii) (section 43(1)(e)(i))?

☐ Yes ☐ No ☑ N/A

Please provide details here:

Subpart 4: Listed project

Guidance note: Please only complete this subpart if this application is for a listed project. If this application is for a referred project, please refer to Subpart 3: Referred project.

Please confirm if the applicant has consulted with the following persons and groups referred to in section 11(1)(a) prior to lodging this application (section 29(1)(a)).

Guidance note: If there is more than 1 authorised person, consultation can occur by any of the authorised persons on behalf of all of them (section 29(2)(a)).

Any relevant applicant groups with applications for customary marine title under the Marine and Coastal Area (Takutai Moana) Act 2011

☐ Yes ☐ No ☐ N/A

Ngā hapū o Ngāti Porou, if the project area is within or adjacent to, or the project would directly affect, ngā rohe moana o ngā hapū o Ngāti Porou

☐ Yes ☐ No ☐ N/A

Please confirm if the applicant has notified in writing the following persons and groups referred to in section 11(1)(b) prior to lodging this application (section 29(1)(aa)).

Guidance note: If there is more than 1 authorised person, notification can occur by any of the authorised persons on behalf of all of them (section 29(2)(a)).

The relevant local authorities

☐ Yes ☐ No ☐ N/A

Any relevant iwi authorities, hapū, and Treaty settlement entities, including –

(i) iwi authorities and groups that represent hapū that are parties to relevant Mana Whakahono ā Rohe or joint management agreements; and

(ii) the tangata whenua of any area within the project area that is a taiāpure-local fishery, a mātaitai reserve, or an area that is subject to bylaws or regulations made under Part 9 of the Fisheries Act 1996

☐ Yes ☐ No ☐ N/A

The relevant administering agencies

☐ Yes ☐ No ☐ N/A

If yes, please indicate which agencies have been notified:

☐ Department of Conservation

☐ Environmental Protection Authority

☐ Heritage New Zealand Pouhere Taonga

☐ Land Information New Zealand

☐ Ministry of Business, Innovation & Employment

If the proposed approvals for the project are to include an approval described in section 42(4)(f) (land exchange), the holder of an interest in land that is to be exchanged by the Crown?

☐ Yes ☐ No ☐ N/A

If persons were notified under section 29(1)(aa), please briefly provide details of the notification including the date it occurred and when 20 working days elapsed:

Part 3: Type of approval(s) sought

Guidance note: This part identifies the type of approval(s) that can be sought under section 42(4) and other relevant requirements relevant to the approval(s) in Subpart 3 and section 42 of the Act. For each type of approval, reference is made to the corresponding checklist that must be completed with the application which include the information requirements set out in section 43 (3).

Please ensure that the information provided is specified in sufficient detail to satisfy the purpose to which it is required (section 44).

This application is for the following type(s) of approval under the Act (please tick all that apply):

☑ A resource consent that would otherwise be applied for under the Resource Management Act 1991 (**RMA**) (section 42(4)(a)). If yes, please complete **checklist A**.

Please identify who is intended to be the holder of the resource consent being applied for:

Guidance note: If the substantive application is to be lodged by more than 1 authorised person, the references to the applicant in the information requirement in clause 5 subclauses 1(d), (k), (i) and (6) of Schedule 5 (and addressed in checklist A) are to the authorised person who is identified in the application as the proposed holder of the resource consent (clause 5(7) of Schedule 5).

CDL Land New Zealand Limited (CDL)

Please indicate which type of consent(s) or activity(ies) the approval applies to. Tick as many boxes as apply to the project:

☑land-use consent

☑subdivision consent (also complete **checklist A1**)

☐reclamation consent (also complete **checklist A1**)

☐coastal permit

☐aquaculture activities

☑water permit (other than coastal marine area)

☑discharge consent (other than coastal marine area)

☐standard freshwater fisheries activity as defined in section 4 (please complete **checklist A2**)

☑other

Is the approval for an activity that is a prohibited activity under the RMA (section 42(5)(a))?

☐ Yes ☑ No

Does the applicant hold an existing resource consent for the same activity using some or all of the same natural resource? If yes, section 30 does not apply.

Guidance note: Section 30 applies if a substantive application, for a listed or referred project, is for a resource consent approval under section 42(4)(a) and the authorised person does not hold an existing resource consent for the same activity using some or all of the same natural resource.

☐ Yes ☑ No

If no, prior to lodging this application, has the authorised person notified in writing each regional council that is the consent authority for an area where the approval would apply (section 30(2))?

☑ Yes ☐ No

Please indicate whether (section 42(5)(b)):

☑ Prior to lodging the substantive application, you received notice either under section 30(3)(b) that there are no existing consents or section 30(5) that there is an applicable existing resource consent and that the existing consent holder does not propose, or does not lodge a relevant application more than 3 months before the expiry of the existing consent (section 30(6)(a)); and

☑ This application is made within three months of the date of the earliest notice provided by each relevant regional council (section 30(6)(b)).

Guidance note: Under section 30(6), the reference to authorised person must be read as a reference to every authorised person who would be required by section 42(2)(b) to jointly lodge the application (section 30(7)(c)).

If a notice under section 30(3)(b) or (5) has been received, please provide a copy of that notice showing that it was received within the timeframe specified in section 30(6)(b) (clause 5(1)(l)(i) of Schedule 5).

Attached in Appendix 4.

If a notice has been received under section 30(5), please provide any more up-to-date information that the applicant is aware of about the existing resource consent referred to in the notice (clause 5(1)(l)(ii) of Schedule 5).

N/A

Does the application relate to aquaculture activities to be undertaken in the coastal marine area (section 31)?

Guidance note: Section 31 applies if a substantive application, for a listed or referred project, is for a resource consent approval under section 42(4)(a) for which the EPA will need to request a recommendation under section 48.

☐ Yes ☑ No

If yes, and the authorised person wishes to lodge a pre-request aquaculture agreement in relation to the area, has this been lodged with the relevant chief executive prior to lodging this application (section 31(2))?

Guidance note: If there is more than 1 authorised person, any 1 of the authorised persons may comply with this subsection on behalf of all of them (section 31(4)).

☐ Yes ☐ No ☐ N/A

☐ A change or cancellation of a resource consent condition that would otherwise be applied for under the RMA (section 42(4)(b))? If yes, please complete **checklist A.**

Is the application also seeking approval for:

a resource consent (section 42(4)(a)); or

a designation or an alteration to an existing designation (section 42(4)(d)), (section 42(6)(a))?

☐ Yes ☐ No

Is the change or cancellation material to the implementation or delivery of the project (section 42(6)(b))?

☐ Yes ☐ No

☐ A certificate of compliance that would otherwise be applied for under the RMA (section 42(4)(c))? If yes, please complete **checklist B**.

Is the application also seeking approval for a resource consent (section 42(4)(a)) or a designation or an alteration to an existing designation (section 42(4)(d)) (section 42(7))?

☐ Yes ☐ No

☐ A designation or an alteration to an existing designation for which a notice of requirement would otherwise be lodged under the RMA (section 42(4)(d))? If yes, please complete **checklist C**.

Does the designation relate to a standard freshwater fisheries activity as defined in section 4? If yes, please complete **checklist A2**

☐ Yes ☐ No

☐ A concession that would otherwise be applied for under the Conservation Act 1987, section 14AA of the Wildlife Act 1953 or section 49 of the National Parks Act 1980, or a Reserves Act approval defined in clause 1 of Schedule 6 (section 42(4)(e)). If yes, please complete relevant sections of **checklist D1**.

☐ A land exchange as defined in clause 22(1) of Schedule 6 (section42(4)(f)). If yes, please answer the questions below.

Guidance note:

- *The information required for a land exchange under section 43 is provided for in clause 27 of Schedule 6. This provides that the application must contain the report provided to the authorised person under section 35. To comply with these requirements, the applicant will need to tick yes to the questions below and provide a copy of the Director-General of Conservation's report. The application must not contain any further information.*
- *If the application is lodged by more than 1 authorised person, the reference to applicant in the definition of land exchange is to the authorised person who is identified in the application as the person proposed to exchange land (clause 22(2) of Schedule 6). Refer to part 4 of this form to identify the authorised person in respect to an approval for land exchange.*

Prior to lodging the substantive application, did the applicant lodge a land exchange application with the Department of Conservation under section 33(1)?

☐ Yes ☐ No

Guidance note:

- *The land exchange application lodged with the Department of Conservation under section 33(1) must comply with the requirements in section 33(1)(a)-(c).*
- *The information provided in the land exchange application must not be lodged unless any fee, charge, or levy payable under the Fast-track Approvals (Cost Recovery) Regulations 2025 in respect of the land exchange application is paid (section 33(3)),*
- *If there is more than 1 authorised person, any 1 of the authorised persons may comply with section 33(1) (section 33(4)).*

If yes, prior to making this application has the applicant received the Director-General of Conservation's report on land exchange as required by section 35(9) (section 42(8))?

☐ Yes ☐No

If yes, please provide a copy of the report provided to the authorised person under section 35 and complete **checklist D3**.

☐ An amendment to or revocation of a conservation covenant as defined in clause 41 of Schedule 6 (section 42(4)(g)). If yes, please complete **checklist D2**.

☐ A wildlife approval as defined in clause 1 of Schedule 7 (section 42(4)(h)). If yes, complete **checklist E**.

☑ An archaeological authority described in section 44(a) or (b) of the Heritage New Zealand Pouhere Taonga Act 2014 that would otherwise be applied for under that Act (section 42(4)(i)). If yes, please complete **checklist F**.

Optionally, you may also include the following information in your application for an approval described in section 43(3)(i) Archaeological Authority

Guidance note: Please answer below or upload your answer as a document in the Documents tab and reference the document name here

Have any archaeological authorities been granted for this location in the past?

No.

Identification of the relevant planning overlays, i.e. are there any relevant Heritage or QEII covenants or Heritage Orders, District Plan schedules, New Zealand Heritage list/Rārangi Kōrero entries?

No.

Is the application also seeking approval for a resource consent (section 42(4)(a)) or a designation or an alteration to an existing designation (section 42(4)(d)) (section 42(9)(a))?

☐ Yes ☑ No

Does the application also include an application for approval of a person to carry out an activity under clause 7 of Schedule 8 (section 42(9)(b))?

☑ Yes ☐ No

Guidance note: If an application for approval of a person to undertake an activity is made with this substantive application, it must be considered under the Act (clause 7(2)(a) of Schedule 8). If it is made after an archaeological authority has been approved (whether under the Act or the HNZPT Act and despite section 40), it must be made and considered under the HNZPT Act (clause 7(2)(b) of Schedule 8).

If yes, please reference any documents (name of document, section and page) you have uploaded to the Portal as part of your application, which provide the information specified below.

Guidance note: In order for Heritage New Zealand Pouhere Taonga to recommend that a person is approved to carry out an activity under an authority, it must be satisfied that the criteria listed at clause 7(5) of Schedule 8 of the Act have been met.

The following questions are to assist Heritage New Zealand Pouhere Taonga with its assessment.

The name and contact details of the nominated person (archaeologist)

Matthew Campbell, 021437555, cfg@cfgheritage.com

A signed acknowledgement that the named person meets the criteria required to be an approved person to undertake an activity under the authority (under sections 7(5) of the Act and 45(2)(a) of the Heritage New Zealand Pouhere Taonga Act 2014), and agrees to conform to accepted archaeological practice in undertaking the archaeological work required by the conditions of any authority granted as a result of the application

Yes, refer to volume 2.

Information to satisfy Heritage New Zealand Pouhere Taonga that the person carrying out the activity under an authority has sufficient skill and competency, is fully capable of ensuring that the proposed activity is carried out to the satisfaction of Heritage New Zealand Pouhere Taonga, and has access to appropriate institutional and professional support and resources (clause 7(5)(a), Schedule 8)

Yes, refer to volume 2.

In the case of a site of interest to Māori, information to satisfy Heritage New Zealand Pouhere Taonga that the person carrying out the activity under an authority has the requisite competencies for recognising and respecting Māori values (clause 7(5)(b)(i), Schedule 8)

Yes, refer to volume 2.

In the case of a site of interest to Māori, information to satisfy Heritage New Zealand Pouhere Taonga that the person carrying out the activity under an authority has access to appropriate cultural support (clause 7(5)(b)(ii), Schedule 8)

Yes, refer to volume 2.

☐ An approval, a dispensation, or an authorisation in respect of a complex freshwater fisheries activity that would otherwise be applied for under regulation 42, 43, or 65(2) of the Freshwater Fisheries Regulations 1983 or section 26ZM(2)(a) or (3)(b) of the Conservation Act 1987 (section 42(4)(j)). If yes, please complete **checklist G**.

☐ A marine consent that would otherwise be applied for under the Exclusive Economic Zone and Continental Shelf (Environmental Effects) Act 2012 (section 42(4)(k)). If yes, please complete **checklist H**.

☐ An initial access arrangement or a variation to an existing access arrangement that would otherwise be applied for under section 61 of the Crown Minerals Act 1991 (section 42(4)(l)). If yes, please complete **checklist I**.

Is the applicant the holder of an appropriate permit required by section 61 of the Crown Minerals Act 1991 (section 42(10)(a))?

☐ Yes ☐ No

Is the applicant also applying for a mining permit under section 42(4)(n) (section 42(10)(b))?

☐ Yes ☐ No

Guidance note: If the application is lodged by more than 1 authorised person, the applicant for the purposes of section 42(10) is the person who is proposed to hold the approval (section 42(12)).

Please only answer the following question if the application is for a listed project:

Has the applicant complied with the requirements in section 59(1) and (2) of the Crown Minerals Act 1991, by serving on each owner and occupier of the relevant land a notice in writing of the applicant's intention to obtain an access arrangement, that includes the specified matters (section 29(1)(b))?

☐ Yes ☐ No

Guidance note: If there is more than 1 authorised person for a listed project, the authorised person who is the proposed holder of the approval described in section 42(4)(l) (initial access or variation to existing access arrangement) must comply with the requirements in section 29(1)(b).

☐ An access arrangement that would otherwise be applied for under section 61B of the Crown Minerals Act 1991 or a variation to an access arrangement granted under that section (section 42(4)(m)). If yes, please complete **checklist I**.

Please only answer the following question if the application is for a listed project:

Has the applicant complied with the requirements in section 59(1) and (2) of the Crown Minerals Act 1991, by serving on each owner and occupier of the relevant land a notice in writing of the applicant's intention to obtain an access arrangement, that includes the specified matters (section 29(1)(b))?

☐ Yes ☐ No

Guidance note: If there is more than 1 authorised person for a listed project, the authorised person who is the proposed holder of the approval described in section 42(4)(m) (access arrangement) must comply with the requirements in section 29(1)(b).

☐ A mineral mining permit that would otherwise be applied for under section 23A of the Crown Minerals Act 1991 (section 42(4)(n)). If yes, complete **checklist I1.**

☐ A petroleum mining permit that would otherwise be applied for under section 23A of the Crown Minerals Act 1991 (section 42(4)(n)). If yes, complete **checklist I2.**

Please only answer the following questions if the application is for a mineral or petroleum mining permit:

Prior to lodging this application, did the applicant lodge the information specified in section 37(2) with the relevant chief executive?

☐ Yes ☐ No

Guidance note:

- *This is not a requirement; however, an applicant may lodge this information under section 39(2). The information required is set out in section 39(2).*
- *The information lodged under section 39(2) must not be lodged unless any fee, charge, or levy payable under Fast-track Approvals (Cost Recovery) Regulations 2025 in respect of the land exchange application is paid (section 39(3)),*
- *If there is more than 1 authorised person, any 1 of the authorised persons may lodge the information on behalf of all of them (section 39(4)).*

Please confirm whether the following apply (section 42(11)(a)-(f)):

☐ The approval is sought for 1 or more deposits of 1 or more minerals

☐ The applicant holds exploration permits or existing privileges that apply to those minerals and have more than 3 months before they expire

☐ The area of land for which the approval is sought is within, or the same as, the area of land to which those exploration permits or existing privileges apply

☐ The application proposes that those exploration permits or existing privileges be surrendered in relation to the area of land over which the approval is sought

☐ The proposed term of the approval is no more than 40 years

☐ Granting the approval would not be prevented by any of sections 25(6) and (7) and 30(8) of the Crown Minerals Act 1991 if the approval were applied for under the Act

Guidance note: If the application is lodged by more than 1 authorised person, the applicant for the purposes of section 42(11) is the person who is proposed to hold the approval (section 42(13)).

Part 4: Requirements for substantive application

Guidance note: This part identifies the requirements for a substantive application in sections 43 and 46 and that are not otherwise addressed in other parts of this form or the checklists. Please ensure that the information provided is specified in sufficient detail to satisfy the purpose to which it is required (section 44).

Subpart 1: General requirements for substantive application

If the application is for a listed project, has an Order in Council been made which amends the project description or the description of the approximate geographical location of the project in Schedule 2 (Section 117A(1))?

☐ Yes ☐ No

If yes, please provide details here:

Does the application relate solely to a listed project or a referred project (whichever applies) (section 46(2)(b))?

☑ Yes ☐ No

Are there any differences between the application and the project as described in Schedule 2 or Order in Council (for a listed project) or in the notice of the Minister's decision under section 28 (for a referred project) (section 46(2)(b))?

☑ Yes ☐ No

If yes, please provide details on the difference(s) and describe how they relate, or do not relate, to the project as described in Schedule 2 or Order in Council (for a listed project) or in the notice of the Minister's decision under section 28 (for a referred project).

The application is considered to be in scope of the Minister's decision under section 28, included in, Volume 1 Planning Report, Section 4.1.1, pg 21. Appendix 1 Legal Memo, Section 7, pg16.

Please explain how the project is consistent with the purpose of the Act (section 43(1)(b)(i)). Or, if the application was referred under section 21(1)(a) of the Act, please explain how both the stage to which the application relates and the whole project are consistent with the purpose of the Act, and provide information relating to the likelihood that any later stages of the project will be completed (section 43(1)(b)(ii)(A) and(B)).

Volume 1 Planning Report, Section 4.4, pg 27.

If the application is lodged by more than 1 authorised person, please state for each approval identified in part 3, which proposed approval is to be held by which authorised person (section 43(1)(d)):

N/A

Guidance note: Section 42(3) requires that, for each approval sought, the applicant must be eligible for any corresponding authority under a specified Act or, if the application is lodged by more than 1 authorised person, the authorised person who is proposed to hold the approval sought must be a person who would be eligible to apply for any corresponding approval under a specified Act.

If applicable, please explain how the application complies or does not comply with any information requirements specified by the Minister under section 24 (section 43(1)(e)(i)):

N/A No additional information requirements specified.

Have you completed the relevant checklist(s) identified in part 3 as relevant to the approval(s) sought (section 43(3))?

☑ Yes ☐ No

If yes, please identify which checklists have been completed:

☑ Checklist A (resource consent and/or change or cancellation of resource consent condition)

☑ Checklist A1 (resource consent including subdivision and/or reclamation)

☐ Checklist A2 (resource consent that includes a standard freshwater fisheries activity)

☐ Checklist B (certificate of compliance)

☐ Checklist C (designation or alteration of existing designation)

☐ Checklist D *Conservation and Reserves Approvals*

☐ D1 (concession)

☐ D2 (conservation covenant

☐ D3 (land exchange)

☐ Checklist E (wildlife approval)

☑ Checklist F (archaeological authority)

☐ Checklist G (complex freshwater fisheries approval)

☐ Checklist H (marine consent)

☐ Checklist I (access arrangements)

☐ Checklist I1 (mineral mining permit)

☐ Checklist I2 (petroleum mining permit)

Does the application comply with all the requirements for the approval(s) sought, as set out in the relevant checklist (sections 43(1)(e)(ii) and 43(3))?

☐ Yes ☐ No

Subpart 2: Specific requirements for listed project

Guidance note: Please only complete this subpart if this application is for a listed project. Section 43(2) provides for additional information requirements for a listed project with reference to the requirements in section 13(4) (other than sections 13(4)(b), (f)(ii) and (iii) and (g)). Those requirements are set out in checklist J and must be provided with a substantive application for a listed project.

Is this application for a specified stage of the listed project as determined by the Minister (section 37A(6)(a))?

☑ Yes ☐ No

Have you completed **checklist J** in relation to a listed project (section 43(2))?

☐ Yes ☑ No

Part 5: Personal or otherwise sensitive information

☑ Please confirm you have checked all the application documents for personal information such as personal contact details for you (the applicant) and any other individual, including persons identified as owners or occupiers of land or affected persons.

☑ I have checked all the application documents for commercially sensitive or otherwise confidential information, which may be withheld from public release under the Act or under the Official Information Act 1982.

☑ I have provided a redacted version of the application (clearly labelled) that does not disclose personal or otherwise confidential or commercially sensitive information which may be withheld under the Act or under the Official Information Act 1982, for publication on the Fast-track website.

Part 6: Signature

☑ I hereby certify that, to the best of my knowledge and belief, the information given in this application is true and correct, and that I am authorised to make this application.

☑ I understand that the EPA can recover actual and reasonable costs incurred in relation to this application.

☑ I understand all fees, charges or levies payable under the Fast-track Approvals (Cost Recovery) Regulations 2025 must be paid for this application to be regarded as lodged (section 43(1)(j)).

*Guidance note: Once you submit your application through the portal, the EPA Finance Team will issue an invoice for both the levy and the application fee. This invoice will be emailed directly to the finance contact you listed in **Part 1** of your application.*

Fergus McArthur	02/10/2026
Signature or digital signature of Authorised Person (or person authorised to make application).	Date

CHECKLIST A – Resource consent, change to or cancellation of a resource consent

Checklist A must be completed if you are applying for an approval under section 42(4)(a) (resource consent) and/or section 42(4)(b) (change or cancellation of resource consent condition). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist, and checklist A1 and A2, set out the requirements in clauses 5 – 9 of Schedule 5, unless they have already been addressed in the substantive form.

If the application is for a subdivision or reclamation, in addition to checklist A, checklist A1 must also be completed.

If the application includes a standard freshwater fisheries activity, checklist A2 must be completed.

If this checklist is being completed for an approval for a change or cancellation of a resource consent, clause 10 of Schedule 5 provides that the application include the information required in clauses 5-9 of Schedule 5, and those clauses apply as if reference to a resource consent were to the change or cancellation of a condition and an activity were to the effects of the change or cancellation of the condition.

Clause, Schedule 5	Information required for an approval described in section 42(4)(a) (resource consent) and/or section 42(4)(b) (change or cancellation of resource consent), Clauses 5-8 of Schedule 5	Application Reference (Name of document, section and page)
5(1)(a)	A description of the proposed activity	Volume 1 Planning Report, Section 6, pg 49
5(1)(b)	A description and map of the site at which the activity is to occur, including whether the site is within or adjacent to— (i) a statutory area (as defined in the relevant Treaty settlement Act); or (ii) ngā rohe moana o ngā hapū o Ngāti Porou (as defined in section 11 of the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019); or (iii) a protected customary rights area under the Marine and Coastal Area (Takutai Moana) Act 2011	Volume 1 Planning Report, Section 5, pg 33. Appendix 5.
5(1)(c)	Confirmation that the consent application complies with section 46(2)(a), (b), and (d); being: • section 42; and	Volume 1 Planning Report, Section 4.3 & 4.4, pg 27.

	• sections 43 and 44; and • relates solely to a listed project or a referred project; and • any fee, charge, or levy payable under regulations in respect of the application is paid. *Guidance note: Section 46 provides for the EPA to decide whether the substantive application is complete and within scope. The EPA will need to be satisfied that the application complies with these requirements. These matters are addressed throughout the substantive application form and relevant checklist.*	
5(1)(d) and 5(6)	The full name and address of— (i) each owner of the site and of land adjacent to the site; and (ii) each occupier of the site and of land adjacent to the site whom the applicant is unable to identify after reasonable inquiry; If the applicant is not able to supply the name and address of the owner and each occupier of the site and of land adjacent to the site because the land is Māori land in multiple ownership, the applicant must include a statement to that effect (clause 5(6)).	Volume 1 Planning Report, Section 5.18, pg 48. Appendix 6
5(1)(e)	A description of any other activities that are part of the proposal to which the consent application relates	Volume 1 Planning Report, Section 6.11, pg 92.
5(1)(f)	A description of any other resource consents, notices of requirement for designations, or alterations to designations required for the project to which the consent application relates	Volume 1 Planning Report, Section 7.4, pg 102.
5(1)(g)	An assessment of the activity against sections 5, 6 and 7 of the Resource Management Act 1991	Volume 1 Planning Report, Section 11, pg 112.
5(1)(h) (and also clauses 5(2) and 5(3))	An assessment of the activity against any relevant provisions in any of the following documents: • a national environmental standard: • other regulations made under the Resource Management Act 1991: • a national policy statement: • a New Zealand coastal policy statement:	Volume 1 Planning Report, Section 12, pg 114. Appendix 26 & 28

	• a regional policy statement or proposed regional policy statement: • a plan or proposed plan: • a planning document recognised by a relevant iwi authority and lodged with a local authority. This assessment must include an assessment of the activity against the requirements set out in clause 5(3) of Schedule 5 being: • any relevant objectives, policies or rules in the documents listed; and • any requirement, condition, or permission in any rules in any of those documents; and • any other requirements in any of those documents.	
5(1)(i)	Information about any Treaty settlements that apply in the area covered by the consent application, including— (i) identification of the relevant provisions in those Treaty settlements; and (ii) a summary of any redress provided by those settlements that affects natural and physical resources relevant to the project or project area	Volume 1 Planning Report, Section 9, pg .105
5(1)(j)	A list of any relevant customary marine title groups, protected customary rights groups, ngā hapū o Ngāti Porou (where an application is within, adjacent to or directly affecting ngā rohe moana o ngā hapū o Ngāti Porou), or applicants under the Marine and Coastal Area (Takutai Moana) Act 2011;	Volume 1 Planning Report, Section 9, pg .105.
5(1)(k)	Any conditions that the applicant proposes for the resource consent.	Volume 3.
5(1)(l)	if a notice under section 30(3)(b) or (5) has been received,— (i) a copy of that notice showing that it was received within the time frame specified in section 30(6)(b); and (ii) if a notice has been received under section 30(5), any more up-to-date information that the applicant is aware of about the existing resource consent referred to in the notice.	Appendix 4.

5(4)(a)	An assessment of the activity's effects on the environment that includes the information required by clause 6. *Guidance note: See rows below for requirements in clause 6.*	Volume 1 Planning Report, Section 13, pg 134.
5(4)(b)	An assessment of the activity's effects on the environment that covers the matters specified in clause 7. *Guidance note: See rows below for requirements in clause 7.*	Volume 1 Planning Report, Section 13, pg 134.
6	(1) The assessment of an activity's effects on the environment must include the following information: (a) an assessment of the actual or potential effects on the environment: (b) if the activity includes the use of hazardous installations, an assessment of any risks to the environment that are likely to arise from such use: (c) if the activity includes the discharge of any contaminant, a description of— (i) the nature of the discharge and the sensitivity of the receiving environment to adverse effects; and (ii) any possible alternative methods of discharge, including discharge into any other receiving environment: (d) a description of the mitigation measures (including safeguards and contingency plans where relevant) to be undertaken to help prevent or reduce the actual or potential effect of the activity: (e) identification of persons who may be affected by the activity and any response to the views of any persons consulted, including the views of iwi or hapū that have been consulted in relation to the proposal: (f) if iwi or hapū elect not to respond when consulted on the proposal, any reasons that they have specified for that decision: (g) if the scale and significance of the activity's effects are such that monitoring is required, a description	Volume 1 Planning Report, Section 13, pg 134.

	of how the effects will be monitored and by whom, if the activity is approved: (h) an assessment of any effects of the activity on the exercise of a protected customary right. *Guidance note: Clause 6(2) provides that a consent application need not include any additional information specified in a relevant policy statement or plan that would be required in an assessment of environmental effects under clause 6(2) or 7(2) of Schedule 4 of the Resource Management Act.*	
7	The assessment of an activity's effects on the environment must cover the following matters: (a) any effect on the people in the neighbourhood and, if relevant, the wider community, including any social, economic, or cultural effects: (b) any physical effect on the locality, including landscape and visual effects: (c) any effect on ecosystems, including effects on plants or animals and physical disturbance of habitats in the vicinity: (d) any effect on natural and physical resources that have aesthetic, recreational, scientific, historical, spiritual, or cultural value, or other special value, for present or future generations: (e) any discharge of contaminants into the environment and options for the treatment and disposal of contaminants: (f) any unreasonable emission of noise: (g) any risk to the neighbourhood, the wider community, or the environment through natural hazards or hazardous installations.	Volume 1 Planning Report, Section 13, pg 134.
5(5)(a)	If a permitted activity is part of the proposal to which the consent application relates, a description that demonstrates that the activity complies with the requirements, conditions, and permissions for the permitted activity (so that a resource consent is not	Volume 1 Planning Report, Section 7.1.4, pg 100. Appendix 26.

	required for that activity under section 87A(1) of the Resource Management Act 1991)	
5(5)(b)	If the activity is to occur in an area that is within the scope of a planning document prepared by a customary marine title group under section 85 of the Marine and Coastal Area (Takutai Moana) Act 2011 or the environmental covenant prepared by ngā hapū o Ngāti Porou under section 19 of the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019, an assessment of the activity against any resource management matters set out in that document	N/A
5(5)(c)	If the activity is to occur in an area that is taiāpure-local fishery, a mātaitai reserve, or an area that is subject to bylaws made under Part 9 of the Fisheries Act 1996, an assessment of the effects of the activity on the use or management of the area.	N/A

CHECKLIST A1 – Subdivision or reclamation resource consent

This checklist must be completed if you are applying for an approval under section 42(4)(a) and/or section 42(4)(b) and your application includes an application for a subdivision or a reclamation. The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 8(1) of Schedule 5 of the Act (for a subdivision) and the requirements in clause 8(2) of Schedule 5 of the Act (for a reclamation), unless they have already been addressed in the substantive form.

Clause, Schedule 5	Information required for an application for a subdivision consent or a reclamation consent (in addition to the information required in Checklist A)	Application Reference (Name of document, section and page)
If this application is for a subdivision consent, please adequately define the matters set out in clause 8(1) below. If no subdivision consent is sought, please enter n/a.		
8(1)(a)	The position of all new boundaries	Appendix 7.
8(1)(b)	The areas of all new allotments, unless the subdivision involves a cross lease or company lease or unit plan	Volume 1 Planning Report, Section 6.3.1, pg 55. Appendix 7.
8(1)(c)	The locations and areas of new reserves to be created, including any esplanade reserves and esplanade strips	Volume 1 Planning Report, Section 6.3.1, pg 55. Appendix 7.
8(1)(d)	The locations and areas of existing esplanade reserves, esplanade strips, and access strips	Volume 1 Planning Report, Section 6.3.1, pg 55. Appendix 7.
8(1)(e)	The locations and areas of any part of the bed of a river or lake to be vested in a territorial authority under section 237A of the Resource Management Act 1991	Volume 1 Planning Report, Section 6.3.1, pg 55. Appendix 7.
8(1)(f)	The locations and areas of any land within the coastal marine area that is to become part of the common marine and coastal area under section 237A of the Resource Management Act 1991	N/A
8(1)(g)	The locations and areas of land to be set aside as new roads	Volume 1 Planning Report, Section 6.3.1, pg 55. Appendix 7.
If this application is for a reclamation consent, please include the information to show the area to be reclaimed set out in clause 8(2) below. If no reclamation consent is sought, please enter n/a.		
8(2)(a)	The location of the area to be reclaimed	N/A

8(2)(b)	If practicable, the position of all new boundaries	N/A
8(2)(c)	Any part of the reclaimed area to be set aside as an esplanade reserve or esplanade strip	N/A

CHECKLIST A2 – Application including standard freshwater fisheries activity checklist

This checklist must be completed if you are applying for an approval under section 42(4)(a), section 42(4)(b) and/or 42(4)(d) and your consent application includes a standard freshwater fisheries activity. The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 9 of Schedule 5 of the Act, unless they have already been addressed in the substantive form.

Clause, Schedule 5	Information required for a consent application that includes a standard freshwater fisheries activity	Application Reference (Name of document, section and page)
9(a)	in relation to the structure and any fish facility: (i) a description of the type of structure or fish facility: (ii) the dimensions of the structure or fish facility: (iii) the design of the structure or fish facility: (iv) the placement of the structure or fish facility: (v) the water flows: (vi) the operating regime	
9(b)	the freshwater species and values present (with particular focus on threatened, data-deficient, and at-risk species as defined in the New Zealand Threat Classification System)	
9(c)	the water quality and quantity in the surrounding habitat (at the proposed structure location, upstream and downstream)	
9(d)	how the passage of fish will be provided for or impeded	

CHECKLIST B – Certificate of compliance

This checklist must be completed if you are applying for an approval under section 42(4)(c) (certificate of compliance). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 11 of Schedule 5, unless they have already been addressed in the substantive form.

Clause, Schedule 5	Information required for an approval described in section 42(4)(c), Clause 11 Schedule 5	Application Reference (Name of document, section and page)
11(1)(a)	A description of the proposed activity	
11(1)(b)	confirmation that the application for the certificate of compliance complies with section 46(2)(a), (b) and (d) being; • section 42; and • sections 43 and 44; • relates solely to a listed project or a referred project; • any fee, charge, or levy payable under regulations in respect of the application is paid. *Guidance note: Section 46 provides for the EPA to decide whether the substantive application is complete and within scope. The EPA will need to be satisfied that the application complies with these requirements. These matters are addressed throughout the substantive application form and relevant checklist.*	
11(1)(c)	a description of any other resource consents, notices of requirement for designations, or alterations to designations required for the project to which the application for the certificate of compliance relates	
11(1)(d)	an explanation of how the activity meets the relevant provisions of the district or regional plan, and any relevant national environmental standard.	
11(2)	If the activity is to occur in an area that is within the scope of a planning document prepared by a customary marine title group under section 85 of the Marine and Coastal Area (Takutai Moana) Act 2011 or the environmental covenant prepared by ngā hapū o Ngāti Porou under section 19 of the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019,	

	the application must include an assessment of the activity against any resource management matters set out in that document.	

CHECKLIST C – Designation or alteration of existing designation

This checklist must be completed if you are applying for an approval under section 42(4)(d) (designation or alteration of existing designation). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 12 of Schedule 5, unless they have already been addressed in the substantive form.

Clause, Schedule 5	Information required for an approval described in section 42(4)(d) of the Act, Clause 12 of Schedule 5	Application Reference (Name of document, section and page)
12(1)(a)	a description and map of the site to which the notice of requirement applies, including whether the site is within or adjacent to a statutory area (as defined in a relevant Treaty settlement Act)	
12(1)(b)	information on the effects of the proposed project or work on the environment, together with a description of how any adverse effects will be mitigated	
12(1)(c)	confirmation that the notice of requirement complies with section 46(2)(a), (b), and (d), being; • section 42; and • sections 43 and 44; and • relates solely to a listed project or a referred project; • any fee, charge, or levy payable under regulations in respect of the application is paid. *Guidance note: Section 46 provides for the EPA deciding whether the substantive application is complete and within scope. The EPA will need to be satisfied that the application complies with these requirements. These matters are addressed throughout the substantive application form and relevant checklist.*	
12(1)(d)(i)	an assessment of the project or work against sections 5, 6, and 7 of the Resource Management Act 1991	
12(1)(d)(ii) and 12(2)	an assessment of the project or work against any relevant provisions in any of the documents listed in subclause (2) being: (a) a national policy statement:	

	(b) a New Zealand coastal policy statement: (c) a regional policy statement or proposed regional policy statement: (d) a plan or proposed plan: (e) a planning document recognised by a relevant iwi authority and lodged with a local authority.	
12(1)(e)	information about any Treaty settlements that apply in the area to which the substantive application relates, including— (i) identification of the relevant provisions in those Treaty settlements; and (ii) a summary of any redress provided by those settlements that affects natural and physical resources relevant to the area to which the substantive application relates	
12(1)(f), 12(3) and 12(4)	the full name and address of— (i) each owner of the land to which the notice of requirement relates and of the land adjacent to that land; and (ii) each occupier of the land to which the notice of requirement relates and of land adjacent to that land whom the requiring authority is able to identify after reasonable inquiry; and If the applicant is not able to supply the name and address of the owner and each occupier of the site and of land adjacent to the site because the land is Māori land in multiple ownership, the applicant must include a statement to that effect (clause 12(3)). *Guidance note: If the substantive application is lodged by more than 1 authorised person, the reference to the applicant in subclause (3) must be read as a reference to the authorised person who is to be identified in the application as the proposed holder of the notice of requirement (clause 12(4)).*	

12(1)(g)	an assessment of whether the project or work and the designation sought are reasonably necessary for achieving the objectives of the requiring authority	
12(1)(h)	any consideration of alternative sites, routes, or methods of undertaking the project or work	
12(1)(i)	a list of the resource consents needed for the project or work and whether they have been applied for	
12(1)(j)	a description of any consultation undertaken with parties likely to be affected by the project or work and the designation	
12(1)(k)	any conditions that the requiring authority proposes for the designation.	

CHECKLISTS D1 to D3

Checklist D1 must be completed if you are applying for an approval under section 42(4)(e) (concession). This checklist sets out the requirements in clause 3 of Schedule 6, unless they have already been addressed in the substantive form.

Checklist D2 must be completed if you are applying for an approval under section 42(4)(g) (conservation covenant). This checklist sets out the requirements in clause 42 of Schedule 6.

Checklist D3 must be completed if you are applying for an approval under section 42(4)(f) (land exchange). This checklist sets out the requirements in clause 27 of Schedule 6.

The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

CHECKLIST D1 – Concession

Clause, Schedule 6	Information required for an approval described in section 42(4)(e) (concession), clause 3 of Schedule 6	Application Reference (Name of document, section and page)
3(1)(a)	A description of the proposed activity	
3(1)(b)	A description, maps, and GPS co-ordinates identifying the places where the proposed activity will be carried out (including the classification of those places, the ownership and management arrangements, and, if applicable, the name, of the places)	
3(1)(c)	Information about whether the project could reasonably be undertaken in another location, or in another conservation area or another part of the conservation area, where the potential adverse effects would be significantly less	
3(1)(d)	In the case of an application for: • a concession that would otherwise be applied for under the Conservation Act 1987, section 14AA of the Wildlife Act 1953, or section 49 of the National Parks Act 1980; or • a concession as defined in section 2(1) of the Reserves Act 1977 that would otherwise be applied for under section 59A of that Act; information about the extent to which the project is consistent with: (i) the relevant conservation management strategy and conservation management plan; (ii) any conservation management strategies or conservation management plans that have been co-authored, authored, or approved by a Treaty settlement entity.	
3(1)(e)	In the case of an application for a lease, licence, permit, or easement in respect of a reserve other than a Crown-administered reserve, information about the extent to which the project is consistent with any management plan approved under section 41 of the Reserves Act 1977	
3(1)(f)	Information about the extent to which the project is in keeping with the purposes for which the land is held, status, ownership and administration	

3(1)(g)(i)	A description of the potential effects (positive and negative) of the proposed activity	
3(1)(g)(ii)	A description of any actions that the applicant proposes to take to avoid remedy, mitigate, offset or compensate for any adverse effects of the proposed activity	
3(1)(g)(iii)	A description of details of the type of concession for which the applicant is applying	
3(1)(h)	A statement of the proposed duration of the concession and the reasons for the proposed duration	
3(1)(i)	Relevant information relating to the applicant, including any information relevant to their ability to carry out the proposed activity (including whether the applicant or any company director, trustee, partner, or anyone else involved with the application has been convicted of any offence or has any current criminal charges pending before a court)	
3(1)(j)	If applying for a lease, a licence granting an interest in land, or an easement, (i) reasons for the request; and (ii) sufficient information to satisfy the panel that, in terms of clause 7 (criteria for assessment of application for concession), it is appropriate under section 81(decisions on approvals sought in substantive application) to grant the lease, licence, or easement (as the case may be)	
3(1)(k)	Full details of any consultation undertaken with relevant iwi and with reserve owners and managers	
3(1)(l)	Information about financial and legal liabilities and obligations associated with the land	
3(1)(m)	In the case of an application for a lease, licence, permit, or easement in respect of a reserve other than a Crown-administered reserve, where the reserve is owned or managed by a local authority, confirmation that the local authority has provided written agreement for the activity to be undertaken on the reserve	
3(1)(n)	Confirmation that the applicant has written agreement from the holder of a right of first refusal or right of offer or	

	return to waive that right for the purposes of any lease proposed in the application if— (i) the proposed lease would be for a term (including any renewals) that will or is likely to be more than 50 years; and (ii) the granting of the lease would trigger the right of first refusal or right of offer or return.	

Guidance note: Clause 3(2) provides that if the substantive application is to be lodged by more than 1 authorised person, the reference to the applicant in subclause (1)(g)(ii), (i), and (n) is to the authorised person who is identified in the application as the proposed holder of the concession.

CHECKLIST D2 – Amendment or revocation of conservation covenant

Clause, Schedule 6	Information required for an approval described in section 42(4)(g) (conservation covenant), clause 42 of Schedule 6	Application Reference (Name of document, section and page)
42(a)	A general assessment of the values protected by the covenant (informed by the covenant purpose and objectives), including the extent of any values of regional, national or international significance	
42(b)	If the application relates only to a portion of the area protected by a conservation covenant, a description of the area affected, and the values contained within it	
42(c)	An assessment of impacts of the project on those values and any proposed methods of addressing those impacts	
42(d)	Whether and to what extent the values impacted by the project are found elsewhere in any part of the covenanted area that will remain subject to the covenant protection	
42(e)	Contact details of the owner of the burdened land or, if the covenant relates to more than 1 parcel of land, of the owner of each piece of land that will be directly affected by the proposed activity	
42(f)	The written consent to the proposed amendment or revocation of each person referred to in paragraph (e)	
42(g)	If the covenant was granted in favour of a local authority or other body under section 77 of the Reserves Act 1977, the written consent of that local authority or other body	
42(h)	Details of the covenant, including: (i) the addresses of all land subject to the covenant: (ii) a copy of the covenant deed and any variations.	

CHECKLIST D3 – Land exchange

Clause, Schedule 6	Information required for an approval described in section 42(4)(f) (land exchange), clause 27 of Schedule 6	Application Reference (Name of document, section and page)
27(a)	Please provide a copy of the report provided to the authorised person under section 35.	

CHECKLIST E – Wildlife approval

This checklist must be completed if you are applying for an approval under section 42(4)(h) (wildlife approval). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 2 of Schedule 7, unless they have already been addressed in the substantive form.

Clause, Schedule 7	Information required for an approval described in section 42(4)(h) (Wildlife Act approval), clause 2 of Schedule 7	Application Reference (Name of document, section and page)
2(1)(a)	Specify the purpose of the proposed activity	
2(1)(b)	Identify the actions the applicant wishes to carry out involving protected wildlife and where they will be carried out (whether on or off public conservation land) *Guidance note: Under clause 2(2) if the substantive application is to be lodged by more than 1 authorised person, the reference to the applicant in subclause (1)(b) is to the authorised person who is identified in the application as the proposed holder of the wildlife approval.*	
2(1)(c)	An assessment of the activity and its impacts against the purpose of the Wildlife Act	
2(1)(d)	List protected wildlife species known or predicted to be in the area and, where possible, the numbers of wildlife present and numbers likely to be impacted	
2(1)(e)	An outline of impacts on threatened, data deficient, and at-risk wildlife species (as defined in the New Zealand Threat Classification System)	
2(1)(f)	A statement of how the methods proposed to be used to conduct the actions involving protected wildlife will ensure that best practice standards are met	
2(1)(g)	A description of the methods to be used to safely, efficiently, and humanely catch, hold, or kill the animals and identify relevant animal ethics processes:	
2(1)(h)	A statement of the location or locations in which the activity will be carried out, including a map (and GPS co-ordinates if available)	

2(1)(i)	A statement of whether authorisation is sought to temporarily hold or relocate wildlife	
2(1)(j)	A list of all actual and potential wildlife effects (adverse or positive) of the proposed activity, including effects on the target species, other indigenous species, and the ecosystems at the site	
2(1)(k)	Where adverse effects are identified, state what methods will be used to avoid and minimise those effects, and any offsetting or compensation proposed to address unmitigated adverse effects (including steps taken before the project begins, such as surveying, salvaging, and relocating protected wildlife)	
2(1)(l)	A statement of whether the applicant or any company director, trustee, partner, or anyone else involved with the application has been convicted of any offence under the Wildlife Act	
2(1)(m)	A statement of whether the applicant or any company director, trustee, partner, or anyone else involved with the application has any current criminal charges under the Wildlife Act pending before a court	
2(1)(n)	Provision of proof and details of all consultation, including with hapū or iwi, on the application specific to wildlife impacts	
2(1)(o)	Provision of any additional written expert views, advice, or opinions the applicant has obtained concerning their proposal	

CHECKLIST F – Archaeological authority

Checklist F must be completed if you are applying for an approval under section 43(3)(i), (Archaeological Authority). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 2 of Schedule 8, unless they have already been addressed in the substantive form.

If this checklist is being completed for an approval for a resource consent, clause 2(2) of Schedule 8 provides that the application includes the same information required for the purpose of this application but ensure that all of the information required by subclause (1) is provided.

Clause, Schedule 8	Information required for an approval described in section 43(3)(i) (Archaeological Authority)	Application Reference (Name of document, section and page)
2(1)(a)	a legal description of the land or, if one is not available, a description that is sufficient to identify the land to which the application relates	Volume 2 Archaeological Authority, Section 3, pg 6.
2(1)(b)	the name of the owner of the relevant land, if the applicant is not the owner of the land *Guidance note 1: If the substantive application is to be lodged by more than 1 authorised person, the reference to the applicant in subclause (1)(b) will be read as a reference to the authorised person who is to be identified in the application as the proposed holder of the archaeological authority.* *Guidance note 2: If multiple landowners will be affected, provide the legal description of the land owned by each affected owner.*	Volume 2 Archaeological Authority, Section 3, pg 6.
2(1)(c)	proof of consent, if the owner of the relevant land has consented to the proposed activity *Guidance note: Landowner consent in relation to an archaeological authority means that they have:* • *read and understood the description of proposed activity included in this application and acknowledge and accept any implications the activity may have on them and their land;*	N/A

	• *been consulted regarding the proposed activity and give their consent to the activity being carried out; and* • *have read and understood the following information on legal responsibilities concerning archaeological material:* *Archaeological material includes any material removed from an archaeological site. This can mean artefacts, faunal material, botanical material and environmental material.* *The conditions provided in an archaeological authority may require that analysis be undertaken on any archaeological material found. Once analysis is completed the long-term management and final repository of the material must be considered. When considering ownership, archaeological material can be classed as either:* *Taonga tūturu* • *defined in the Protected Objects Act 1975 as any artefact removed from an archaeological site that relates to Māori culture, history, or society, and was or appears to have been manufactured or modified in New Zealand by Māori, or brought into New Zealand by Māori, or used by Māori, and is more than 50 years old.* • *once found, the Crown assumes ownership* • *the legislation relating to taonga tūturu is managed by the Ministry for Culture and Heritage. For further information please visit the Ministry's website at* https://www.mch.govt.nz/our-work/protected-objects *Other material (i.e. not taonga tūturu):* • *this is property of the land owner at the time the material was recovered* • *this includes any artefacts that don't fall within the definition of taonga tūturu, as well as faunal material, botanical material and environmental material*	
2(1)(d)	confirmation that the application complies with section 42, 43, and 44 of the Act	Volume 2 Archaeological Authority, Section 8, pg 15.

	confirmation that the application relates solely to a listed project or a referred project	Appendix 3.
	any fee, charge, or levy payable under regulations in respect of the application is paid.	Volume 1 Planning Report, Section 4.4, pg 28.
2(1)(e)	a description of each archaeological site to which the application relates and the location of each site *Guidance note: Please provide the New Zealand Archaeological Association's (NZAA) site reference if your proposed activity may affect a known archaeological site.*	Volume 2 Archaeological Authority, Section 3, pg 6.
2(1)(f)	a description of the activity for which the authority is sought *Guidance note: Please include a list of all earthworks or ground-disturbing activities for your project. Attach related final plans, drawings, engineering specifications and/or photographs. Plans need to show the activity in relation to the location and extent (if known) of the affected archaeological sites.*	Volume 2 Archaeological Authority, Section 4, pg 9.
2(1)(g)	a description of how the proposed activity will modify or destroy each archaeological site	Volume 2 Archaeological Authority, Section 4, pg 9.
2(1)(h)	except in the case of an approval described in section 44(b) of the HNZPT Act, an assessment of— (i) the archaeological, Māori, and other relevant values of the archaeological site in the detail that is appropriate to the scale and significance of the proposed activity and the proposed modification or destruction of the archaeological site; and (ii) the effect of the proposed activity on those values	Volume 2 Archaeological Authority, Section 5, pg 11.
2(1)(i)	a statement as to whether consultation with tangata whenua, the owner of the relevant land (if the applicant is not the owner), or any other person likely to be affected— (i) has taken place, with details of the consultation, including the names of the parties and the tenor of the views expressed; or	Volume 2 Archaeological Authority, Section 5.2, pg 12.

	(ii) has not taken place or been completed, with the reasons why consultation has not occurred or been completed (as applicable).	

CHECKLIST G – Complex freshwater fisheries approval

This checklist must be completed if you are applying for an approval, a dispensation, or an authorisation under section 42(4)(j) (complex freshwater fisheries approval). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 3 of Schedule 9, unless they have already been addressed in the substantive form.

Clause, Schedule 9	Information required for an approval described in section 42(4)(j) (complex freshwater fisheries approval), clause 3 of Schedule 9	Application Reference (Name of document, section and page)
3(a)	in relation to the structure and any fish facility: (i) a description of the type of structure or fish facility: (ii) the dimensions of the structure or fish facility: (iii) the design of the structure or fish facility: (iv) the placement of the structure or fish facility: (v) the water flows: (vi) the operating regime	
3(b)	the freshwater species and values present (with particular focus on threatened, data-deficient, and at-risk species as defined in the New Zealand Threat Classification System)	
3(c)	the water quality and quantity in the surrounding habitat (at the proposed structure location, upstream and downstream)	
3(d)	how the passage of fish will be provided for or impeded	

CHECKLIST H – Marine consent

This checklist must be completed if you are applying for an approval under section 42(4)(k) (marine consent). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clauses 3 and 4 of Schedule 10, unless they have already been addressed in the substantive form.

Clause, Schedule 10	Information required for an approval described in section 42(4)(k) (marine consent), clauses 3 and 4 of Schedule 10	Application Reference (Name of document, section and page)
3	Does the substantive application include an application for a resource consent for a cross-boundary activity (within the meaning of section 88 of the EEZ Act) under section 42(4)(a) (resource consent) in addition to an application for marine consent? *Guidance note: If yes, the impact assessment under the EEZ Act and the assessment of environmental effects under the Resource Management Act 1991 must be combined.*	Yes/No
4(a)	a description of the proposed activity:	
4(b)	an impact assessment prepared in accordance with section 39 of the EEZ Act and any requirements prescribed in regulations made under that Act:	
4(c)	if the application relates to an activity referred to in section 20(2)(a), (b), or (c) of the EEZ Act, a description in general terms of how and when it is proposed that the structure, submarine pipeline, or submarine cable will be dealt with at the end of its life.	

CHECKLIST I – Access arrangements

This checklist must be completed if you are applying for an approval under sections 42(4)(l) or (m) (access arrangements). The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

This checklist sets out the requirements in clause 3 of Schedule 11.

Clause, Schedule 11	Information required for an approval described in sections 42(4)(l) or (m), clause 3 of Schedule 11	Application Reference (Name of document, section and page)
3(a)	a copy of the relevant permit under the Crown Minerals Act 1991 (if a permit has been granted under that Act):	
3(b)	a clear map or plan of the application area with GPS co-ordinates:	
3(c)	a document identifying the areas of conservation land located within the application area, its classification, and an assessment against its purpose:	
3(d)	a description of the proposal, including –	
	(i) the application area, including location and features (for example, water courses, roads, and amenities):	
	(ii) a summary of proposed activities (including type of prospecting, exploration, or mining methods, duration, and scale of activity):	
	(iii) a statement of the objectives of any Act under which the land is administered:	
	(iv) any policy statement, management strategy, or management plan of the Crown that applies in relation to the land:	
	(v) details of any resource consents and concessions held or applied for, or intended applications in relation to the application area:	
	(vi) in the case of an application under section 42(4)(l), a statement of the direct net economic and other benefits of the proposed activities in relation to which the access arrangement is sought:	

	(vii) in the case of an application under section 42(4)(m), the interests of the owner of the mineral, or of any person to whom the owner of the mineral has granted any rights in relation to the mineral, in obtaining access to that mineral:	
3(e)	an assessment of the environment, including –	
	(i) a description of the existing natural environment in and around the application area (including flora, fauna, aquatic life, and landscape):	
	(ii) a description of any historic, cultural, and archaeological sites within the application area (position and significance):	
	(iii) a description of the social environment in and around the application area (including scenic qualities, recreation facilities, and their use):	
	(iv) an assessment of the effects that proposed activities will have on the environment described in subparagraph (i), both while the activities are taking place and after their completion:	
	(v) an outline of consultation undertaken, including full details of consultation with relevant iwi:	
	(vi) a description of the proposed safeguards and mitigation measures to be put in place (for example, proposed rehabilitation, water management, management of flora and fauna and cultural or historic sites, and the way in which risks will be managed):	
	(vii) information about financial and legal liabilities and obligations associated with the land:	
3(f)	in the case of an application where the land in question is a reserve managed by a local authority, confirmation that the local authority has provided written agreement for the activity to be undertaken on the reserve. *Guidance note: Refer to Conservation and Reserves Approvals checklist D1-D3.*	

CHECKLIST I1 – Mineral mining permit

Checklist I1 must be completed if you are applying for an approval under section 42(4)(n) (mineral mining permit). This checklist sets out the requirements in clause 16 of Schedule 11, unless they have already been addressed in the substantive form.

The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

Clause, Schedule 11	Information required for an approval described in section 42(4)(n) (mining permit), clause 16 of Schedule 11	Application Reference (Name of document, section and page)
16(a)	*Guidance note: Detailed list of requirements included in part 3 of this checklist below.* Information that would be required to be supplied under the Crown Minerals Act 1991, regulations, or minerals programmes made under that Act if the application for the permit were made under that Act	
16(b)	Statements of confirmation that the application complies with each of the criteria set out in sections 42(4)(n) and (11) *Guidance note: These criteria are set out in more detail in the next part of this checklist.*	
16(c)	Section 37 allows the information for a mining permit application to be submitted to the chief executive of MBIE prior to submitting the substantive application. Confirm whether the information was provided to the chief executive under section 37 and If YES, provide details of any differences between the information provided for the purposes of section 37 and the information being provided in the substantive application.	

Section 42(11)	Information required under section 42(11)	
(a)	the approval is sought for 1 or more deposits of 1 or more minerals	
(b)	the applicant holds exploration permits or existing privileges that— (i) apply to those minerals; and (ii) have more than 3 months before they expire	
(c)	the area of land for which the approval is sought is within, or the same as, the area of land to which those exploration permits or existing privileges apply	
(d)	the application proposes that those exploration permits or existing privileges be surrendered in relation to the area of land over which the approval is sought	
(e)	the proposed term of the approval is no more than 40 years	
(f)	granting the approval would not be prevented by any of sections 25(6) and (7) and 30(8) of the Crown Minerals Act 1991 if the approval were applied for under that Act	
Clause, Pt 3, Schedule 2, CMA Regs	**Information that would be required under Part 3 of Schedule 2 of the Crown Minerals (Minerals Other than Petroleum) Regulations 2007 (CMA Regs) as required in clause 16(a) of Schedule 11 of the Act**	
1AA	The particulars of the person who is responsible for the application.	
1	A statement of the technical qualifications and financial resources of the applicant.	
2	If the application is on behalf of 2 or more persons, an explanation of each person's interest in the permit (including the percentage of the share of the permit that each person will hold).	
2A	If the application is on behalf of 2 or more persons, the proposed permit operator.	

2B	The area of land to which the application relates (in hectares or square kilometres).	
2C	A statement that allows the [panel] to form a view as to whether the proposed permit operator has, or is highly likely to have, by the time the relevant work in any granted permit is undertaken, the capability and systems that are likely to be required to meet the health and safety requirements of all specified Acts for the types of activities proposed under the permit	
3	A map of the permit area (as defined in Regulations 4 and 5 of the CMA Regs).	
4	The applicant's estimates of total in-ground resources.	
4A	A report that sets out the evidence for a mineable mineral resource or exploitable mineral deposit sufficient to support a mining permit that includes— (a) estimates of the mineable mineral resource or exploitable mineral deposit,— (i) which may include inferred, indicated, and measured resources or deposits and probable and proved reserves or deposits; and (ii) which, for an application for a Tier 1 mining permit, must be made in accordance with the Canadian National Instrument, the JORC Code, or the South African Code; and (b) a map showing the size and location of the resource or deposit; and (c) a description of the geology of the resource or deposit; and (d) if applicable, a description of the type of coal and its properties.	
4B	The following information to accompany the estimates prepared under item 4A(a)(ii): (a) documentation on input data, methodology, quality control, and validation of the resource or deposit; and	

	(b) a spatial definition of the areas to which the figures in the estimates apply; and (c) a statement of the criteria used to determine the estimates; and (d) a statement of whether the estimates are made on the basis of a scoping, pre-feasibility, or feasibility study, or on some other specified basis.	
5	A statement of the proposed work programme that provides an overview of how the permit area will be worked that includes— (a) the size, nature, extent, and siting of the proposed mining operations; and (b) the proposed mining methods to be used; and (c) the proposed mining and production schedule; and (d) the expected production and long-term mining scheme for the mineable resource; and (e) the proposed start date for production; and (f) any proposed prospecting or exploration work in relation to the permit area; and (g) the proposed expenditure under the permit; and (h) if applicable, the point of valuation for royalty purposes.	
6	The applicant's mining feasibility studies, which include mine design, scheduling and production, resource recovery, and economic viability.	
7	A report on the project economics of the operation. In particular, the report must include the financial viability, technical constraints, and proposed level of expenditure in relation to the scale and extent of the proposed operations. *Guidance note: In considering the types of matters that may be appropriate to provide additional commentary on, applicants may find it useful to also include the supporting financial model(s) in accordance with clause 7 of Part 3 of Schedule 2 of the Crown Minerals (Minerals Other than*	

	Petroleum) Regulations 2007, <u>including assumptions that underpin the model(s)</u>.	
8	For an application for a Tier 1 mining permit, a report on any alternative mine development plans that have been identified, which must include details of the projected ultimate recovery of the resource under each plan and a statement as to why these options have not been pursued *Guidance note: If no alternative mine development plan has been identified; please confirm this within your mining permit application.*	
9	For an application for a Tier 1 mining permit for minerals other than gold or silver, or for a permit in relation to an area offshore, a report stating the ownership of the minerals (commonly known as a Land Mineral Status Report).	
10	A statement as to whether the proposed mining operations are in accordance with good industry practice.	
11	A statement on any other matter the applicant considers relevant to support the application. *Guidance note: In considering the types of matters that may be appropriate to provide additional commentary on, applicants may find it useful to review the relevant chapter of the Minerals Programme for Minerals (excluding Petroleum).*	

CHECKLIST I2 – Petroleum mining permit

Checklist I2 must be completed if you are applying for an approval under section 42(4)(n) (petroleum mining permit). This checklist sets out the requirements in clause 16 of Schedule 11, unless they have already been addressed in the substantive form.

The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

Clause, Schedule 11	Information required for an approval described in section 42(4)(n) (mining permit), clause 16 of Schedule 11	Application Reference (Name of document, section and page)
16(a)	*Guidance note: Detailed list of section 42(11) requirements included below.* Information that would be required to be supplied under the Crown Minerals Act 1991, regulations, or minerals programmes made under that Act if the application for the permit were made under that Act	
16(b)	Confirmation that the application will comply with sections 42(4)(n) and (11) *Guidance note: The detailed requirements of section 42(11) are set out below.*	
16(c)	Section 37 allows the information for a mining permit application to be submitted to the chief executive prior to submitting the substantive application. Confirm whether the information was provided to the chief executive under section 37 and If YES, provide details of any differences between the information provided for the purposes of section 37 and the information being provided in the substantive application.	
Section 42(11)	**Information required under section 42(11)**	
(a)	the approval is sought for 1 or more deposits of 1 or more minerals	
(b)	the applicant holds exploration permits or existing privileges that— (i) apply to those minerals; and	

	(ii) have more than 3 months before they expire	
(c)	the area of land for which the approval is sought is within, or the same as, the area of land to which those exploration permits or existing privileges apply	
(d)	the application proposes that those exploration permits or existing privileges be surrendered in relation to the area of land over which the approval is sought	
(e)	the proposed term of the approval is no more than 40 years	
(f)	granting the approval would not be prevented by any of sections 25(6) and (7) and 30(8) of the Crown Minerals Act 1991 if the approval were applied for under that Act	
Clause, Pt 2, Schedule 2, Petroleum Regs	**Information that would be required under Part 2 of Schedule 2 of the Crown Minerals (Petroleum) Regulations 2007 (Petroleum Regs) as required in clause 16(a) of Schedule 11 of the Act**	
1	A statement of the technical qualifications and financial resources of the applicant.	
2	A map of the permit area as defined in Regulations 4 and 5 of the Crown Minerals (Petroleum) Regulations 2007.	
3	If the application is on behalf of 2 or more persons, – (a) an explanation of each person's interest in the permit; and (b) a statement identifying which of the persons is to be the permit operator.	
4	A report that – (a) sets out the reserves and proposed work programme for the development of the field concerned; and (b) includes the information described in Schedule 3 of the Crown Minerals (Petroleum) Regulations 2007.	
Clause, Schedule 3,	**Information that would be required under Schedule 3 of the Crown Minerals (Petroleum) Regulations 2007 (Petroleum Regs) as required under Part 2 of Schedule 2, of those regulations**	

Petroleum Regs		
1	An executive summary of the information supplied under clauses 2 to 10 (of Schedule 3 of the Petroleum Regs), including – (a) a summary of the in-place and recoverable reserves (including the applicant's calculations of the assigned probabilities of the reserves); and (b) a description of the proposed facilities to extract, treat, and transport the petroleum; and (c) the proposed annual and cumulative field production.	
2	A discussion of the permit history, including – (a) exploration results (including any geophysical or geochemical survey results); and (b) appraisal results (including any drilling and well-testing results).	
3	A statement of the geology of the permit area, including its regional setting, geological history, and regional stratigraphy.	
4	A geophysical analysis and interpretation of the permit area, including – (a) a database and maps showing seismic coverage and discussion of seismic data quality; and (b) the seismic ties to wells and a discussion of the accuracy of the ties; and (c) the seismic interpretation techniques and results; and (d) the techniques and results of depth conversion (including velocity analysis and a discussion of the sensitivity to depth mapping to variations in velocity fields); and (e) maps of all average and interval velocity fields using depth conversion, including uncertainty maps; and	

	(f) structural maps and models in time and depth for reservoir units; and (g) a geophysical analysis of seismic attributes and modelling, including seismic inversion; and (h) a discussion of reservoir structure and uncertainties that could affect reserves (including analysis of faulting, alternative fault correlations, and fault seals).	
5	A geological interpretation covering the following: (a) description of stratigraphy, including a table of formation tops, thicknesses, and cored intervals (all in measure depth and true vertical depth); and (b) sedimentological analysis and facies interpretation; and (c) stratigraphic and structural correlations of reservoir units; and (d) net sand and net pay maps for each reservoir; and (e) a geological model, including descriptions of the petroleum system and its constituent parts on which the mining proposal is based.	
6	A petrophysical evaluation, including – (a) a database containing wireline, logging while drilling, monitoring while drilling, core, and sidewall core measurements, and any other subsurface measurements; and (b) formation temperature measurements; and (c) a petrophysical interpretation that covers- (i) lithology, porosity, permeability; and (ii) connate water saturation and water salinity; and (iii) the cut-off criteria used to determine net reservoir and net pay; and (iv) fracture density; and	

	(v) comparisons of laboratory analyses and log-derived data; and (d) any petrographic and core analyses of reservoir rocks.	
7	Reservoir engineering data, including – (a) results and interpretation of all subsurface pressure measurements, including wireline, logging while drilling, monitoring while drilling, and test data measurements (open and cased hole); and (b) the interpretation position of gas-oil, oil-water, gas-water contacts, including an electric log analysis over the reservoir interval; and (c) desorption data and gas content maps for any coal seam gas field; and (d) a description of the aquifer extent and strength; and (e) details of reservoir fluid parameters, including – (i) pressure, volume, and temperature analysis of gas (methane and ethane), liquefied petroleum gas (propane and butane), condensate (C5+), and oil (including dew point and bubble point); and (ii) the oil formation by volume factor and the gas to oil and condensate to gas ratios with depth; and (iii) chemical analysis of any gas, condensate, or water samples; and (iv) a discussion of any significant differences between the results from different wells or intervals within a well.	
8	Reserves information, including – (a) structure maps, cross sections, or models showing the areal and vertical extent of the field and the hydrocarbon contacts; and (b) a statement of the hydrocarbons-in-place, including (with any estimates made in accordance with the Petroleum Resources Management System) –	

	(i) a description of the methodologies used to calculate their volume and distribution; and (ii) the assigned probabilities for oil, gas (methane and ethane), liquefied petroleum gas (propane and butane), and condensate (C5+) (with details for each production layer or zone); and (iii) oil initially in place; and (iv) gas initially in place; and (c) recoverable hydrocarbon estimates, including their assigned probabilities, and production forecasts for individual wells and field totals (irrespective of any gas sales contracts); and (d) a reservoir model or models; and (e) information in relation to contingent resources; and (f) an explanation of why any contingent resources are classified as such, including a description of development and cost thresholds).	
9	A proposed field development plan, including – (a) a summary structure map for each reservoir showing interpreted field contacts and the surface and down-hole location of proposed production and injection wells; and (b) an estimate and range of field life, including annual forecast production profiles for oil, water, and gas (and the assumptions on which the profiles are based) and any forecast annual injection profiles; and (c) information on all existing and proposed well locations (and their surface and reservoir completion depths), pipelines, production and reinjection facilities, treatment facilities, and transportation and storage facilities, including – (i) a development timeline showing the sequence of drilling, well completions, installations of facilities, and commissioning and production start-up dates; and	

	(ii) a discussion of well design and well completion philosophy supported by diagrams; and (iii) the proposed geological, petrophysical, and reservoir monitoring programmes for the duration of the field's life (with particular emphasis on resolving field uncertainties and dynamic performance); and (iv) the proposed pressure maintenance, including any assisted recovery, artificial lift, or enhanced recovery; and (v) the proposed frequency of reserve re-evaluations; and (vi) the proposed location of meters to be used for the metering of oil, condensate, and gas that is produced, consumed, and flared; and (vii) details of petroleum to be used to fuel any of the proposed operations or to be flared, and a discussion of other methods considered for petroleum utilisation; and (viii) a description of the proposed development (including diagrams) and a description of the related process facility (including flow diagrams); and (ix) the reasons for selecting the proposed development; and (x) a discussion of the proposed abandonment of facilities; and (xi) proposed expenditure on matters to which this paragraph applies; and (xii) the proposed commencement date for production	
10	The proposed point or points of valuation for royalties payable.	
	Additional information to support the application.	

	A statement on any other matter the applicant considers relevant to support the application. *Guidance note: In considering the types of matters that may be appropriate to provide additional commentary on, applicants may find it useful to review the relevant chapter of the current Petroleum Programme regarding mining permits.*	

CHECKLIST J – Listed project information requirements

This checklist must be completed if your application is for a listed project. Section 43(2) of the Act requires additional information is provided with a substantive application. The substantive application must comply with these requirements. The checklist is designed to assist you in providing all the relevant information. If an application does not comply with all requirements, then the EPA must return it to the person who lodged it.

Section 43(2) of the Act requires that a substantive application for a listed project contain the information required by section 13(4) (other than sections 13(4)(b), (f)(ii) and (iii), and (g) which applies with specified modifications (that have been applied in the checklist below) and any other necessary modifications).

Section, Fast-track Approvals Act	Information required for a substantive application under section 43(2) and section 13(4)	Application Reference (Name of document, section and page)
13(4)(a)	a description of the project and the activities it involves	
13(4)(c)	information to demonstrate that the project does not involve any ineligible activities (other than activities that may be the subject of a determination under section 23 or 24)	
13(4)(d)	a description or map of the whole project area that identifies its boundaries in sufficient detail to enable consideration of the referral application	
13(4)(e)	the anticipated commencement and completion dates for construction activities (where relevant)	
13(4)(f)(i)	a statement of whether the project is planned to proceed in stages and, if so an outline of the nature and timing of the stages	
13(4)(h)	a description of the anticipated and known adverse effects of the project on the environment and the significance of those adverse effects	
13(4)(i)	a statement of any activities involved in the project that are prohibited activities under the Resource Management Act 1991	
13(4)(j)	a list of the persons and groups the applicant considers are likely to be affected by the project, including— (i) relevant local authorities:	

	(ii) iwi authorities and groups that represent hapū that are parties to relevant Mana Whakahono ā Rohe or joint management agreements: (iii) other relevant iwi authorities: (iv) relevant Treaty settlement entities: (v) relevant protected customary rights groups and customary marine title groups: (vi) ngā hapū o Ngāti Porou, if the project area is within or adjacent to, or the project would directly affect, ngā rohe moana o ngā hapū o Ngāti Porou: (vii) relevant applicant groups under the Marine and Coastal Area (Takutai Moana) Act 2011: (viii) persons with a registered interest in land that may need to be acquired under the Public Works Act 1981:	
13(4)(k)	a summary of— (i) the consultation undertaken for the purposes of section 29 and any other consultation undertaken on the project with the persons and groups referred to in paragraph (j); and (ii) how the consultation has informed the project:	
13(4)(ka)	a summary of how any responses provided to the notification under section 29(1)(aa) have informed the project	
13(4)(l)	a list of any Treaty settlements that apply to the project area, and a summary of the relevant principles and provisions in those settlements	
13(4)(m)	a description of any processes already undertaken under the Public Works Act 1981 in relation to the project	
13(4)(n)	a statement of any relevant principles or provisions in the Ngā Rohe Moana o Ngā Hapū o Ngāti Porou Act 2019	

13(4)(o)	information identifying the parcels of Māori land, marae, and identified wāhi tapu within the project area	
13(4)(p)	a statement of whether the applicant is seeking a determination under section 23 and, if so, an assessment of the effects of the activity on the relevant land and on the rights and interests of Māori in that land	
13(4)(q)	a statement of whether the applicant is seeking a determination under section 24(2) and, if so, a description of— (i) the scale and adverse effects of the existing electricity infrastructure; and (ii) how, if at all, that scale or those adverse effects are anticipated or known to change as a result of the maintenance, upgrading, or continued operation of the infrastructure	
13(4)(r)	a statement of whether the applicant is seeking a determination under section 24(4) and, if so,— (i) a description of every alternative site considered by the applicant (or, if the referral application is lodged by more than 1 person, any of those persons) for the construction and operation of the new electricity lines (the activity); and (ii) for each alternative site considered,— (A) a statement of the anticipated and known financial cost of undertaking the activity; and (B) a description of the anticipated and known adverse effects of undertaking the activity; and (C) a description of the anticipated and known financial cost and practicality of available measures to avoid, remedy, mitigate, offset, or compensate for the anticipated and known adverse effects of the activity; and (D) a description of any issues (including financial cost) that would make it	

	impractical to undertake the activity on the site; and (E) an assessment of whether it would be reasonable and practical to undertake the activity on the site, taking into account the matters referred to in subparagraphs (A) to (D) and any other relevant matters	
13(4)(s)	a description of the applicant's legal interest (if any), or if the application is lodged by more than 1 person, the legal interest of any of those persons) (if any), in the land on which the project will occur, including a statement of how that affects the applicant's ability to undertake the work	
13(4)(t)	an outline of the types of consents, certificates, designations, concessions, and other legal authorisations (other than contractual authorisations or the proposed approvals) that the applicant considers are needed to authorise the project, including any that the applicant considers may be needed by someone other than the applicant	
13(4)(u)	whether any activities that are involved in the project, or are substantially the same as those involved in the project, have been the subject of an application or a decision under a specified Act and,— (i) if an application has been made, details of the application: (ii) if a decision has been made, the outcome of the decision and the reasons for it:	
13(4)(v)	a description of whether and how the project would be affected by climate change and natural hazards	
13(4)(w)	if the application is lodged by more than 1 person, a statement of the proposed approval to be held by each of those persons	
13(4)(x)	a summary of compliance or enforcement actions (if any), and the outcome of those actions, taken against the applicant (or if the application is lodged by more than 1 person, any of those persons) under a specified Act	

13(4)(y) 13(4)(y)(i), clause 2 of Schedule 5	Please provide the information specified below for the relevant approval(s) sought. This is the information specified in the relevant schedule. If approval is not sought, please enter n/a.	
	Resource consent or designation (a) an assessment of the project against— (i) any relevant national policy statement; and (ii) any relevant national environmental standards; and (iii) if relevant, the New Zealand Coastal Policy Statement; and	
	(b) in relation to any proposed approval that is a resource consent, whether, to the best of the applicant's knowledge, there are any existing resource consents of the kind referred to in section 30(3)(a). *Guidance note: If the application is to be lodged by more than 1 person, the reference to the applicant in subclause (1)(b) is to the person who will be identified in the application as the proposed holder of the resource consent.*	
13(4)(y)(ii), clause 3 of Schedule 5	**Change or cancellation of resource consent condition** The information to be provided under section 13(4)(y)(ii) is information about whether and how the change or cancellation of the condition is material to the implementation or delivery of the project.	
13(4)(y)(iii), clause 4 of Schedule 5	**Certificate of compliance** The information required to be provided under section 13(4)(y)(iii) is information that shows the activity that the certificate of compliance is intended to cover can be done lawfully in the particular location without a resource consent. Include information that shows that the activity that the certificate of compliance is intended	

	to cover can be done lawfully in the particular location without a resource consent.	
13(4)(y)(iv), clause 2 of Schedule 6	**Concession** (1) The information in subclause (2) is required to be provided under section 13(4)(y)(iv) if a proposed concession includes a lease and— (a) the lease would be for a term (including any renewals) that will or is likely to be more than 50 years; and (b) the granting of the lease would trigger a right of first refusal or a right of offer or return. (2) Confirmation that the applicant has written agreement from the holder of the right of first refusal or right of offer or return to waive that right for the purposes of the proposed lease. *Guidance note: If the application is to be lodged by more than 1 person, the reference to the applicant in subclause (2) is to the person who is to be identified in the application as the proposed holder of the concession (clause 2(3) of Schedule 6).*	
13(4)(y)(v), clause 23 of Schedule 6	**Land exchange** (1) The information required to be provided under section 13(4)(y)(v) is (a) - (e) below: *Guidance note: If the substantive application is to be lodged by more than 1 person, the reference to the applicant in subclause (1)(d) is to the person who is to be identified in the application as the person proposed to exchange land (clause 23(2) of Schedule 6).* (a) a description of both land areas proposed for exchange (for example, maps showing areas and location, addresses, and legal descriptions where possible:	
	(b) the financial value of the land proposed to be acquired by the Crown:	
	(c) a brief description of the conservation values of both pieces of land, including an explanation of	

	why the exchange would benefit the conservation estate: *Guidance note: This must include an explanation of why the exchange would benefit the conservation estate; and details of anything registered or noted for conservation purposes on the record of title for the land to be acquired by the Crown (clause 23(1A) of Schedule 6)*	
	(d) if the land exchange would trigger a right of first refusal or a right of offer or return, confirmation that the applicant has written agreement from the holder of the right of first refusal or right of offer or return that the holder has agreed to waive that right for the purpose of the land exchange:	
	(e) confirmation by the applicant that no part of any land to be exchanged by the Crown is – (i) land listed in Schedule 4; or (ii) a reserve declared to be a national reserve under section 13 of the Reserves Act 1977	
13(4)(y)(vi), clause 4A of Schedule 5	**Standard freshwater fisheries activity approval** (4A) The information required to be provided under section 13(4)(y)(vi) is the following: (a) whether an in-stream structure is proposed (including formal notification of any dam or diversion structure) and the extent to which the proposed structure may impede fish passage; and (b) whether any fish salvage activities are proposed.	
13(4)(y)(via), clause 2 of Schedule 9	**Complex freshwater fisheries activity approval** (2) The information required to be provided under section 13(4)(y)(via) is the following: (a) whether an in-stream structure is proposed (including formal notification of any dam or diversion structure) and the extent to which this may impede fish passage; and	

	(b) whether any other complex freshwater fisheries activities are proposed.	
13(4)(y)(vii), clause 2 of Schedule 10	**Marine consent** (1) The information required to be provided under section 13(4)(y)(vii) is– (a) information about whether the Minister of Conservation is an affected person:	
	(b) additional information about whether the applicant has already made an application for a consent under the EEZ Act in relation to the project, and, if so,— (i) details of any application made; and (ii) the decisions made on that application; and (iii) information about the matters that the Minister may consider under section 22(6):	
	(c) additional information (in a summary form) about compliance or enforcement action taken against the applicant by the EPA under the EEZ Act. *Guidance note: If the application is to be lodged by more than 1 person, the reference to the applicant in subclause (1)(b) is to the person who is to be identified in the application as the proposed holder of the marine consent (clause 2(2) of Schedule 10).*	
13(4)(y)(viii), clause 2 of Schedule 11	**Access arrangement** (1) Confirmation that the applicant has complied with section 29 (for the purposes of section 13(4)(y)(viii)). *Guidance note: If the referral application is to be lodged by more than 1 person, the reference to the applicant in subclause (1) is to the person who is to be identified in the*	

	application as the proposed holder of the access arrangement (clause 2(2) of Schedule 11).	
13(4)(y)(ix), clause 15 of Schedule 11	**Mining permit** (1) For the purposes of section 13(4)(y)(ix), the information is— (a) a copy of the relevant exploration permit or existing privilege to be exchanged for a mining permit that entitles the holder to mine a Crown owned mineral: (b) the name and contact details of the proposed permit participants and the proposed permit operator: (c) a proposed work programme for the proposed permit, which may comprise committed work, committed or contingent work, or both: (d) evidence of the technical or financial capability of the proposed permit holder to comply with and give proper effect to the work programme: (e) information about the proposed permit holder's history of compliance with mining or similar permits and their conditions: (f) the proposed date on which the substantive application is intended to be lodged: (g) if the authorised person proposes to provide information under section 37, the date on which the person intends to provide that information: (h) the proposed duration of the permit: (i) if the proposed approvals include a mining permit for petroleum,— (i) a map of the area over which the mining permit application is intended to be made, the area in which the surrender of an exploration permit or existing privileges is proposed (which must be same area as the area over which the mining permit application is intended to be made), and the	

extent of the resource to which the development plan relates:

(ii) the resources and reserves relating to the project, estimated in accordance with the Petroleum Resources Management System:

(iii) a high-level overview of the following:

(A) the proposed field development plan:

(B) the proposed date for the commencement of petroleum production:

(C) the economic model for the project:

(D) the proposed duration of the proposed mining permit:

(E) decommissioning plans:

(j) if the proposed approvals include a mining permit for minerals other than petroleum,—

(i) a map of the area over which the mining permit application is intended to be made, the area in which the surrender of an exploration permit or existing privileges is proposed (which must be same area as the area over which the mining permit application is intended to be made), and the extent of the resource and reserves to which the development plan relates:

(ii) for minerals other than gold or silver, a report or statement confirming the ownership of the minerals targeted:

(iii) whether the application will be for a Tier 1 or Tier 2 permit:

(iv) an estimate of the mineral resources and reserves relating to the project, including a summary on acquisition of the data and the data underpinning the estimate (such as information on sample locations, grade, and geology):

	(v) an indicative mine plan: (vi) a high-level overview of the following: (A) the proposed mining method: (B) the proposed date for the commencement of mining and estimated annual production: (C) the economic model for the project: (D) the status of or anticipated timing for completing any prefeasibility or feasibility studies: (E) the proposed methods for processing mined material and handling and treating waste: (F) anticipated plans for mine closure and rehabilitation. (2) For the purpose of subclause (1)(j)(iv), for a Tier 1 permit application the resources and reserves relating to the project are to be estimated in accordance with a recognised reporting code such as JORC or NI 43-101.	
43(2)(b)	if the project is planned to proceed in stages,— (i) an outline of the nature and timing of the stages; and (ii) a statement of whether a separate substantive application is to be lodged for each of the stages; and (iii) an explanation of how each stage meets the criteria in section 22:	
43(2)(c)	the information that the applicant provided to the Minister when applying to have the project listed as a listed project and an explanation of how the substantive application is within the scope of the listed project.	